Asthma
  Causes of Asthma
  Utah Prevalence

Diagnosis

Managing Asthma

Education for Partnership in Care

Control of Environmental Factors
  Co-morbid Conditions

Medications
  Stepwise Approach

Special Situations
  Exercised-induced Bronchospasm
  Home Management
  Asthma in School
  Disparities

Additional Resources
Asthma

Asthma is a complex disorder characterized by:
- Variable and recurring symptoms
- Airflow obstruction
- Bronchial hyperresponsiveness
- Underlying inflammation

**Working Definition of asthma is as follows:**

*Asthma is a chronic inflammatory disorder of the airways in which many cells and cellular elements play a role: in particular mast cells, eosinophils, neutrophils (especially in sudden onset, fatal exacerbations, occupational asthma, and patients who smoke), T lymphocytes, macrophages, and epithelial cells. In susceptible individuals, this inflammation causes recurrent episodes of coughing (particularly at night or early in the morning), wheezing, breathlessness, and chest tightness. These episodes are usually associated with widespread but variable airflow obstruction that is often reversible either spontaneously or with treatment.*

Airflow limitation is caused by a variety of changes in the airway, all influenced by airway inflammation:

- Bronchoconstriction—bronchial smooth muscle contraction that quickly narrows the airways in response to exposure to a variety of stimuli, including allergens or irritants.
- Airway hyperresponsiveness—an exaggerated bronchoconstrictor response to stimuli.
- Airway edema—as the disease becomes more persistent and inflammation becomes more progressive, edema, mucus hypersecretion, and formation of inspissated mucus plugs further limit airflow.

**Normal Bronchiole**  **Asthmatic Bronchiole**
Causes of Asthma

The development of asthma appears to involve the interplay between host factors (particularly genetics) and environmental exposures that occur at a crucial time in the development of the immune system. A definitive cause of the inflammatory process leading to asthma has not yet been established. The following are causes of asthma at different levels:

- Innate immunity
- Genetics
- Environmental factors
  - Airborne allergens
  - Viral respiratory infections
  - Tobacco smoke
  - Air pollution
  - Diet

Knowledge of the importance of inflammation to the central features of asthma continues to expand and underscores inflammation as a primary target of treatment. Studies indicate that current therapeutic approaches are effective in controlling symptoms, reducing airflow limitation, and preventing exacerbations, but currently available treatments do not appear to prevent the progression of asthma in children. As various phenotypes of asthma are identified and inflammatory and genetic factors become more apparent, new therapeutic approaches may be developed that will allow even greater specificity to tailor treatment to the individual patient’s needs and circumstances.

Prevalence of Current Asthma by Age and Sex, Utah, 2007

Current asthma is defined as those who have ever been diagnosed with asthma by a doctor or other health professional and who report that they still have asthma. Overall, 8.0% of Utahns reported having current asthma in 2007. Males ages 0-17 appeared to have a higher prevalence of asthma when compared to females. For adults ages 18 and older, females seemed to have a higher prevalence of asthma for every age group. However, the only significant difference in asthma prevalence between males and females was found among adults ages 35-49.
Most Recent Asthma Symptoms, Adults and Children With Current Asthma, Utah, 2007

Over half (52.3%) of adults experienced their most recent asthma symptom less than one week ago, compared to only 19.7% of children with symptoms within the past week. Nearly one in 10 adults (8.2%) and 14.5% of children with current asthma reported remaining symptom-free during the past year.

Some of the differences in symptoms experienced by children versus adults may be due to a difference in reporting methods for the two age groups. Asthma symptoms for children were reported by a parent or guardian, while adult symptoms were

Number of Missed School Days Due to Asthma During Past 12 Months, Utah, School-aged Children With Current Asthma, 2007

Nationally, asthma is a leading cause of school absenteeism. In Utah it contributes to school absenteeism. Among parents of school-aged children with asthma, 18.3% reported that their child missed 1-5 days of school because of asthma during the past 12 months, and 15.5% said their child missed more than 5 days due to asthma. Two-thirds of parents (66.2%) reported that their child missed no days of school due to asthma.
Asthma Self-management Knowledge, Adults and Children With Lifetime Asthma, Utah, 2007

Asthma self-management education is an integral part of effective asthma care and improves patient outcomes by reducing limitations on activities and improving quality of life for those with asthma. It is recommended that health care providers teach self-management skills by providing every asthma patient with a written asthma action plan and encouraging self-monitoring and self-management of asthma symptoms.

In 2007, 79.2% of parents of children with lifetime asthma reported that either they or their children were taught by a health professional to recognize early signs or symptoms of an asthma episode and 80.2% reported being taught what to do during an asthma attack. This is significantly higher that the percent of adults with lifetime asthma who reported being taught to recognize signs or symptoms of an asthma episode (56.1%) or what to do during a asthma attack (62.9%).

Asthma Hospitalizations by Age and Sex, Utah Children Ages 0-17, 2007

Children under the age of 5 had the highest rates of hospitalization due to asthma. Males less than 1 year old and 1-4 years of age had a significantly higher rate of hospitalizations (10.4 and 22.3 per 10,000 residents) due to asthma compared to females (2.3 and 12.9 per 10,000 residents). After children reached the age of 5,
Asthma hospitalizations tended to decrease with increasing age and reached a rate as low as 1.1 per 10,000 residents for males and 1.2 per 10,000 residents for females by ages 15-17.

**Asthma Emergency Department Treat and Release Visits, Utah Children Ages 0-17, 2006**

<table>
<thead>
<tr>
<th>Age Group in Years</th>
<th>ED Encounters per 10,000 Population</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;1</td>
<td>32.0</td>
</tr>
<tr>
<td>1-4</td>
<td>55.4</td>
</tr>
<tr>
<td>5-9</td>
<td>31.0</td>
</tr>
<tr>
<td>10-14</td>
<td>37.6</td>
</tr>
<tr>
<td>15-17</td>
<td>22.4</td>
</tr>
<tr>
<td>20</td>
<td>20.3</td>
</tr>
<tr>
<td>30</td>
<td>15.1</td>
</tr>
<tr>
<td>40</td>
<td>25.0</td>
</tr>
<tr>
<td>50</td>
<td>10.1</td>
</tr>
<tr>
<td>60</td>
<td>4.1</td>
</tr>
<tr>
<td>70</td>
<td>2.0</td>
</tr>
</tbody>
</table>

Note: The primary diagnosis code ICD 493 was used to identify emergency department visits due to asthma. Data include only those who were treated and released but not admitted as inpatients.

The highest rate of emergency department treat and release encounters for children was among males in the age group 1-4 (55.4 encounters per 10,000 residents). For every age group except 15-17, males had a higher rate of emergency department encounters for asthma when compared to females.
Diagnosis

To establish a diagnosis of asthma, the clinician should determine that symptoms of recurrent episodes of air flow obstruction or airway hyperresponsiveness are present; airflow obstruction is at least partially reversible; and alternative diagnoses are excluded.

Key Symptom indicators when considering a diagnosis of asthma:
- Wheezing—A lack of wheezing and a normal chest examination do not exclude asthma
- History of any of the following:
  - Cough
  - Recurrent wheeze
  - Recurrent difficulty in breathing
  - Recurrent chest tightness
- Symptoms occur or worsen in the presence of:
  - Exercise
  - Viral infection
  - Inhalant allergens (e.g., animals, dust mites, mold, pollen)
  - Irritants (tobacco, wood smoke, airborne chemicals)
  - Changes in weather
  - Strong emotional expression (laughing or crying hard)
  - Stress
  - Menstrual cycles
- Symptoms occur or worsen at night, awakening the patient

Recommended methods to establish the diagnosis are:
- Detailed medical history
  - Symptoms
  - Pattern of symptoms
  - Precipitating and/or aggravating factors
  - Development of disease and treatment
  - Family history
  - Social history
  - History of exacerbations
  - Impact of asthma on patient and family
  - Assessment of patient’s and family’s perceptions of disease
- Physical examination
  - Upper respiratory tract
    - Increased nasal secretion
    - Mucosal swelling
    - Nasal polyp
  - Chest
    - Sounds of wheezing during normal breathing
    - Prolonged phase of forced exhalation
    - Hyperexpansion of the thorax
    - Use of accessory muscles
    - Appearance of hunched shoulders
    - Chest deformity

Spirometry is an essential objective measure to establish the diagnosis of asthma because the medical history and physical examination are not reliable means of excluding other diagnoses or of assessing lung status.
- Skin
  - Atopic dermatitis
  - Eczema
- Spirometry
  - Demonstrates obstruction and assesses reversibility in patient ≥5 years of age
  - Patient’s perceptions of airflow obstruction are highly variable.

**Differential Diagnosis**

**Infants and Children**
- Upper airway diseases
  - Allergic rhinitis and sinusitis
- Obstructions involving large airways
  - Foreign body in trachea or bronchus
  - Vocal cord dysfunction (VCD)
  - Vascular rings or laryngeal webs
  - Laryngotracheomalacia, tracheal stenosis, or bronchostenosis
  - Enlarged lymph nodes or tumor
- Obstruction involving small airways
  - Viral bronchiolitis or obliterative bronchiolitis
  - Cystic fibrosis
  - Bronchopulmonary dysplasia
  - Heart disease
- Other causes
  - Recurrent cough not due to asthma
  - Aspiration from swallowing mechanism dysfunction or gastroesophageal reflux.

**Common diagnostic challenges include:**
- Cough variant asthma—cough can be the principal, or only, manifestation of asthma, especially in young children.
- VCD—can mimic asthma, but it is a distinct disorder. VCD may coexist with asthma but asthma medications typically do little if anything to relieve VCD symptoms.
- Gastroesophageal reflux disease (GERD), obstructive sleep apnea (OSA), and allergic bronchopulmonary aspergillosis (ABPA)
- Children ages 0-4 years—diagnosing in infants and young children is challenging and is complicated by the difficulty in obtaining objective measurements of lung function in this age group. Caution is needed to prevent prolonged use of asthma medications and well as under-diagnosing asthma.

Consider referral to an asthma specialist if signs and symptoms are atypical, if there are problems with a differential diagnosis, or if additional testing is indicated.
Managing Asthma

Assessing and monitoring asthma severity and asthma control.

The functions of assessment and monitoring are closely linked to the concepts of severity, control, and responsiveness to treatment:

- **Severity**: the intrinsic intensity of the disease process. Severity is most easily and directly measured in a patient who is not receiving long-term control therapy. Severity can also be measured once asthma control is achieved, by the step of care required to maintain control.
- **Control**: the degree to which the manifestations of asthma are minimized by therapeutic intervention and the goals of therapy are met.
- **Responsiveness**: the ease with which asthma control is achieved by therapy.

Asthma severity and asthma control include the domains of current impairment and future risk.

- **Impairment**: the frequency and intensity of symptoms and functional limitations the patient is currently experiencing or has recently experienced.
- **Risk**: the likelihood of asthma exacerbations, progressive decline in lung function (or, for children, reduced lung growth), or risk of adverse effects from medication.

This distinction emphasizes the multifaceted nature of asthma and the need to consider separately asthma's current, ongoing effects on the present quality of life and functional capacity and the future risk of adverse events. The two domains may respond differentially to treatment. For example, evidence demonstrates that some patients can have adequate control of symptoms and minimal day-to-day impairment, but still be at significant risk of exacerbations. These patient should be treated accordingly.

The concepts of severity and control are used as follows for managing asthma:

- **Assess severity to initiate therapy**: a patient’s initial presentation. If the patient is not currently taking long-term control medications, asthma severity is assessed to guide clinical decisions for initiating the appropriate medication and other therapeutic interventions.
- **Assess control to adjust therapy**: once therapy is initiated, the emphasis for clinical management thereafter is changed to the assessment of asthma control. The level of asthma control will guide decisions either to maintain or to adjust therapy.
- **For assessing a patient’s overall asthma severity, once the most optimal asthma control is achieved and maintained**: asthma severity can be inferred by correlating the level of severity with the lowest level of treatment required to maintain control.
For the initial assessment to characterize the patient’s asthma and guide decisions for initiating therapy, use information from the diagnostic evaluation to:

- Classify asthma severity
- Identify precipitating factors for episodic symptoms
- Identify co-morbid conditions
- Assess the patient’s knowledge and skills for self-management.

For periodic monitoring of asthma control to guide decisions for maintaining or adjusting therapy:

- Instruct patients to monitor their asthma control in an ongoing manner. All patients should be taught how to recognize inadequate asthma control.
  - Either symptom or peak flow monitoring is appropriate for most patients; evidence suggests the benefits are similar.
  - Consider daily peak-flow monitoring for patients who have moderate or severe persistent asthma, patients who have a history of severe exacerbations, and patients who poorly perceive airway obstruction or worsening asthma.
- Monitor asthma control periodically in clinical visits. The frequency of monitoring is a matter of clinical judgment. In general:
  - **Schedule visits at 2 - to 6 - week** intervals for patients who are just starting therapy or who require a step up in therapy to achieve or regain asthma control.
  - **Schedule visits at 1- to 6 -month** intervals after asthma control is achieved to monitor whether asthma control is maintained. The interval will depend on factors like the duration of asthma control or the level of treatment required.
  - **Consider scheduling visits at 3- month** intervals if a step down in therapy is anticipated.

Assess asthma control, medication technique, the written asthma action plan, adherence, and patient concerns at every patient visits.

**Education for a Partnership in Care**

A partnership between the clinician, the person who has asthma and the caregiver is required for effective asthma management. By working together, an appropriate treatment can be selected and the patient can learn self-management skills necessary to control asthma. Self-management education improves patient outcomes and can be cost-effective. Self-management education is an integral component of effective asthma care and should be treated as such by health care providers as well as by health care policies and reimbursements.
Key educational messages: Teach and reinforce at EVERY opportunity:

<table>
<thead>
<tr>
<th>Basic Facts about Asthma</th>
</tr>
</thead>
<tbody>
<tr>
<td>-The contrast between airways of a person who has and a person who does not have asthma.</td>
</tr>
<tr>
<td>-The role of inflammation.</td>
</tr>
<tr>
<td>-What happens to the airways during an asthma attack.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Patient Skills</th>
</tr>
</thead>
<tbody>
<tr>
<td>-Taking medications correctly inhaler technique (demonstrate to the patient and have the patient return the demonstration).</td>
</tr>
<tr>
<td>-Use of devices, as prescribed (e.g., valved holding chamber (VHC) or spacer, nebulizer).</td>
</tr>
<tr>
<td>-Identifying and avoiding environmental exposures that worsen the patient’s asthma; e.g., allergens, irritants, tobacco smoke.</td>
</tr>
<tr>
<td>-Self-monitoring</td>
</tr>
<tr>
<td>-Assess level of asthma control</td>
</tr>
<tr>
<td>-Monitor symptoms and, if prescribed, peak flow measures</td>
</tr>
<tr>
<td>-Recognize early signs and symptoms of worsening asthma</td>
</tr>
<tr>
<td>-Using a written asthma action plan to know when and how to:</td>
</tr>
<tr>
<td>• Take daily actions to control asthma</td>
</tr>
<tr>
<td>• Adjust medication in response to signs or worsening asthma</td>
</tr>
<tr>
<td>• Seek medical care as appropriate.</td>
</tr>
</tbody>
</table>

Role of Medications: Understanding the Difference Between:

<table>
<thead>
<tr>
<th>Long-term control medications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>-Prevent symptoms, often by reducing inflammation</td>
</tr>
<tr>
<td>-Must be taken daily.</td>
</tr>
<tr>
<td>-Do not expect them to give quick relief.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Quick-relief medications:</th>
</tr>
</thead>
<tbody>
<tr>
<td>-SABAs relax airway muscles to provide prompt relief of symptoms.</td>
</tr>
<tr>
<td>-Do not expect them to provide long-term asthma control.</td>
</tr>
<tr>
<td>-Using SABA &gt; 2 days a week indicates the need for starting or increasing long-term control medications.</td>
</tr>
</tbody>
</table>

Develop an active partnership with the patient and family by:

- Establishing open communication that considers cultural and ethnic factors, as well as language and health care literacy needs of each patient and family.
- Identifying and addressing patient and family concerns about asthma and asthma treatment.
- Developing treatment goals and selecting medications together with the patient and family, allowing full participation in treatment decision-making.
- Encouraging self-monitoring and self-management by reviewing at each opportunity the patient’s reports of asthma symptoms and response to treatment.
Provide to all patients a written asthma action plan that includes instructions for daily management, including:

- Long-term control medication (if appropriate)
- Environmental control measures
- Actions to manage worsening asthma (signs/symptoms, PEF measurements [if used], that indicate worsening asthma; medications to take in response; signs/symptoms that indicate immediate medical care)
- Written asthma action plan (particularly for those with moderate or severe persistent asthma)

Integrate asthma self-management education into all aspects of asthma care:

- Begin at the time of diagnosis and continue through follow-up care.
- Involve all members of the health care team, including physicians, nurses, pharmacists, respiratory therapists, and asthma educators.
- Education should occur at all points of care where health care professionals interact with patients who have asthma.
- Incorporate individualized case/care management by trained health care professionals for patients who have poorly-controlled asthma.
- Use a variety of educational strategies.

Encourage patient’s adherence to the written asthma action plan by:

- Choosing treatment that achieves outcomes and addresses preferences that are important to patient.
- Reviewing with patient at each visit the success of the treatment plan.
- Reviewing patient’s concerns
- Assessing patient’s and family’s level of social support.
- Tailoring the self-management approach to the needs and literacy levels of the patient.

Control of Environmental Factors and Co-morbid Conditions that Affect Asthma.

If patients who have asthma are exposed to irritant or inhalant allergens to which they are sensitive, their asthma symptoms may increase and precipitate an asthma exacerbation. Substantially reducing exposure to these factors may reduce inflammation, symptoms, and need for medication. Several co-morbid conditions can impede asthma management.
Allergens and Irritants:
- Evaluate the potential role of allergens (particularly inhalant allergens) and irritants.
  - Identify allergens and pollutants or irritant exposures. The most important allergens for both children and adults appear to be those that are inhaled.
  - For patients who have persistent asthma, use skin testing or in vitro testing to assess sensitivity to perennial indoor allergens.

Advise patients who have asthma to reduce exposures to allergens and pollutants or irritants to which they are sensitive:
- Effective allergen avoidance requires a multifaceted, comprehensive approach; single steps alone are generally ineffective.
- Advise patients who have severe persistent asthma, nasal polyps, or a history of sensitivity to aspirin or nonsteroidal anti-inflammatory drugs (NSAIDS), about their risk of severe and even fatal exacerbations from using these drugs.
- Indoor air-cleaning devices cannot substitute for more effective dust-mite and cockroach control measure because these particles to not remain airborne. These devices can reduce airborne dog and cat allergens, mold spores, and tobacco smoke. However, most studies do not show an effect on symptoms or lung function.
- Humidifiers or evaporative (swamp) coolers are generally not recommended in homes of patients who are sensitive to dust mites or mold.

Other points to consider:
- Subcutaneous allergen immunotherapy for patients who have persistent asthma is clear evidence of a relationship between symptoms and exposure to an allergen to which the patient is sensitive
- Consider inactivated influenza vaccination for patients who have asthma
- Dietary factors have an inconclusive role in asthma

Co-morbid Conditions
Identify and treat co-morbid conditions that may impede asthma management. If these conditions are treated appropriately, asthma control may improve:
- Allergic bronchopulmonary aspergillosis
- Gastroesophageal reflux (GERD)
- Obese or overweight patients
- Obstructive sleep apnea
- Rhinitis or sinusitis
- Stress and depression
Medications

General Mechanisms and Role in Therapy

Long-term control medications are used daily to achieve and maintain control of persistent asthma. The most effective are those that attenuate the underlying inflammation characteristic of asthma. Long-term control medications include the following (listed in alphabetical order):

- Corticosteroids
- Cromolyn sodium and medocromil
- Immunomodulators
- Leukotriene modifier
- LABAs (salmeterol and formoterol)
- Methylxanthines

Quick-relief medications are used to treat acute symptoms and exacerbations. They include the following (listed in alphabetical order):

- Anticholinergics
- SABAs (albuterol, levalbuterol, and pirbuterol)
- Systemic corticosteroids

Delivery Devices for Inhaled Medications

Patients should be instructed in the use of inhaled medications, and patient’s technique should be reviewed at every patient visit.

The major advantages of delivering drugs directly into the lungs via inhalation are that higher concentrations can be delivered more effectively to the airways and that systemic side effects are lessened. Inhaled medications, or aerosols, are available in a variety of devices that differ in the technique required. To reduce the potential for adverse effects, the following measures are recommended:

- Advise patients to use spacers or VHCs with nonbreath-activated metered-dose inhalers (MDIs) to reduce local side effects. There are no clinical data on use of spacers with ultrafine particle hydrofluoroalkane (HFA) MDIs.
- Advise patient to rinse the mouth (rinse and spit) after inhalation.
- Use the lowest dose of ICS that maintains asthma control.
- Consider adding a LABA, or alternative adjunctive therapy, to a low or medium dose of ICS, rather than using a higher dose of ICS to maintain asthma control.
Stepwise Approach for Managing Asthma

A stepwise approach to managing asthma is recommended to gain and maintain control of asthma in both the impairment and risk domains. These domains may respond differentially to treatment. The type, amount, and scheduling of medication is determined by the level of asthma severity or asthma control.

General Principles for all age groups:
- Include medications, patient education, environmental control measures, and management of co-morbidities at each step.
- Monitor asthma control regularly
- For patients NOT taking long-term control therapy, select treatment based on severity
- Patients who have persistent asthma require daily long-term control medication
- Monitor level of asthma control and adjust therapy
- If possible, identify the minimum amount of medication required to maintain asthma control

Ages 0-4 years
Consider daily long-term control therapy—young children may be at high risk for severe exacerbations, yet have low level of impairment between exacerbations. Initiate daily long-term control therapy for:
- Children who had ≥4 episodes of wheezing the past year that lasted >1 day and affected sleep AND who have a positive asthma risk profile (one of the following):
  - Parental history of asthma
  - Atopic dermatitis
  - Evidence of sensitization to aeroallergens
- OR two of the following:
  - Sensitization to foods
  - ≥4 percent blood eosinophilia
  - Wheezing apart from colds
- Consider initiating daily long-term control therapy for:
  - Children who consistently require SABA >2 days per week for >4 weeks.
  - Children who have two exacerbations requiring oral systemic corticosteroids within 6 months.
- Monitor response closely, and adjust treatment
  - If no clear and positive response occurs with 4-6 weeks and the patient’s/caregiver’s medication technique and adherence are satisfactory, stop the treatment and consider alternative therapies or diagnosis.
  - If clear benefit is sustained for at least 3 months, consider step down to evaluate the continued need for daily therapy. Children this age have high rates of spontaneous remission of symptoms.
Ages 5-11 Years

- Involve child in developing a written asthma action plan
  - Address youth’s concerns, preferences, and school schedule in selecting treatment
  - Encourage students to take a copy of written action plan to school/after-school activities
- Promote physical activity
  - Treat exercise-induced bronchospasm (EIB). Step up daily therapy if the child has poor endurance or symptoms during normal play activities
- Monitor for disease progression and loss of lung growth
  - Treatment will not alter underlying progression of the disease but a step up in therapy may be required to maintain asthma control.

Ages 12 and older

- Involve youths in developing a written asthma action plan
  - Address youth’s concerns, preferences, and school schedule in selecting treatment
  - Encourage students to take a copy of written action plan to school/after-school activities
- Promote physical activity
  - Treat exercise-induced bronchospasm (EIB). Step up daily therapy if the child has poor endurance or symptoms during normal daily activities

Promote active participation in physical activities, exercise, and sports because physical activity is an essential part of a child’s life.
Key: FEV1, forced expiratory volume n 1 second; 
FVC, forced vital capacity; CS, inhaled corticosteroids; ICU, intensive care unit; N/A, not applicable

Notes:
1 Level of severity is determined by both impairment and risk. Assess impairment domain by caregiver’s recall of previous 2–4 weeks. Assign severity to the most severe category in which any feature occurs.
2 Frequency and severity of exacerbations may fluctuate over time for patients in any severity category. At present, there are inadequate data to correspond frequencies of exacerbations with different levels of asthma severity. In general, more frequent and severe exacerbations (e.g., requiring urgent, unscheduled care, hospitalization, or ICU admission) indicate greater underlying disease severity. For treatment purposes, patients with ≥2 exacerbations described above may be considered the same as patients who have persistent asthma, even in the absence of impairment levels consistent with persistent asthma.
### Key
- EIB: exercise-induced bronchospasm
- FEV1: forced expiratory volume in 1 second
- FVC: forced vital capacity
- ICU: intensive care unit
- N/A: not applicable

### Notes:
- The level of control is based on the most severe impairment or risk category. Assess impairment domain by patient’s or caregiver’s recall of previous 2–4 weeks. Symptom assessment for longer periods should reflect a global assessment, such as whether the patient’s asthma is better or worse since the last visit. At present, there are inadequate data to correspond frequencies of exacerbations with different levels of asthma control. In general, more frequent and intense exacerbations (e.g., requiring urgent, unscheduled care, hospitalization, or ICU admission) indicate poorer disease control.
**Step up if needed (first check inhaler technique, adherence, environmental control, and comorbid conditions)**

**Step 1**
- **Intermittent Asthma**
- Consult with asthma specialist if step 3 care or higher is required. Consider consultation at step 2.

**Step 2**
- **Preferred**
  - SABA PRN
  - Low-dose ICS

<table>
<thead>
<tr>
<th>Step 3</th>
<th>Step 4</th>
<th>Step 5</th>
<th>Step 6</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Preferred</strong></td>
<td><strong>Persistent Asthma: Daily Medication</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intermittent</td>
<td>Low-dose ICS</td>
<td>Medium-dose ICS</td>
<td>High-dose ICS</td>
</tr>
<tr>
<td>Asthma</td>
<td>+ LABA or Montelukast</td>
<td>+ LABA or Montelukast</td>
<td>+ Oral corticosteroids</td>
</tr>
<tr>
<td><strong>Alternative</strong></td>
<td>Medium-dose ICS</td>
<td>High-dose ICS</td>
<td>Oral corticosteroids</td>
</tr>
<tr>
<td>Montelukast</td>
<td>+ LABA</td>
<td>+ LABA</td>
<td>+ Oral corticosteroids</td>
</tr>
</tbody>
</table>

**Step 4**
- **Each Step:** Patient Education and Environmental Control

**Quick-Relief Medication**
- SABA as needed for symptoms. Intensity of treatment depends on severity of symptoms.
- With viral respiratory symptoms: SABA q 4-6 hours up to 24 hours (longer with physician consult). Consider short course of oral systemic corticosteroids if exacerbation is severe or patient has history of previous severe exacerbations.

Caution: Frequent use of SABA may indicate the need to step up treatment. See text for recommendations on initiating daily long-term control therapy.

**Step 5**
- **Each Step:** Patient Education, Environmental Control, and Management of Comorbidities

Steps 2-4: Consider subcutaneous allergen immunotherapy for patients who have persistent, allergic asthma.

**Quick-Relief Medication**
- SABA as needed for symptoms. Intensity of treatment depends on severity of symptoms: up to 3 treatments at 20 minute intervals as needed. Short course of oral systemic corticosteroids may be needed.

Caution: Increasing use of SABA or use >2 days a week for symptom relief (not prevention of EIS) generally indicates inadequate control and the need to step up treatment.

**Step 6**
- The stepwise approach is meant to assist, not replace, the clinical decisionmaking required to meet individual patient needs.
- If an alternative treatment is used and response is inadequate, discontinue it and use the preferred treatment before stepping up.
- If clear benefit is not observed within 4-6 weeks, and patient's family's medication technique and adherence are satisfactory, consider adding therapy or an alternative diagnosis.
- Studies on children 0-4 years of age are limited. Step 2 preferred therapy is based on Evidence A. All other recommendations are based on expert opinion and extrapolation from studies in older children.
- Clinicians who administer immunotherapy should be prepared and equipped to identify and treat anaphylaxis that may occur.

**Key:**
- Alphabetical listing is used when more than one treatment option is listed within either preferred or alternative therapy. ICS, inhaled corticosteroid; LABA, inhaled long-acting beta2-agonist; LTRA, leukotriene receptor antagonist; oral corticosteroids, oral systemic corticosteroids; SABA, inhaled short-acting beta2-agonist.
### Key:
- **EIB**: exercise-induced bronchospasm
- **FEV1**: forced expiratory volume in 1 second
- **FVC**: forced vital capacity
- **ICU**: intensive care unit

#### Notes:
- The stepwise approach is meant to assist, not replace, the clinical decisionmaking required to meet individual patient needs.
- Level of severity is determined by assessment of both impairment and risk. Assess impairment domain by patient’s/caregiver’s recall of previous 2–4 weeks and spirometry. Assign severity to the most severe category in which any feature occurs.
- At present, there are inadequate data to correspond frequencies of exacerbations with different levels of asthma severity. In general, more frequent and intense exacerbations (e.g., requiring urgent, unscheduled care, hospitalization, or ICU admission) indicate greater underlying disease severity. For treatment purposes, patients who had ≥2 exacerbations requiring oral systemic corticosteroids in the past year may be considered the same as patients who have persistent asthma, even in the absence of impairment levels consistent with persistent asthma.
**Components of Control**

<table>
<thead>
<tr>
<th></th>
<th>Well Controlled</th>
<th>Not Well Controlled</th>
<th>Very Poorly Controlled</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Impairment</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Symptoms</td>
<td>≤2 days/week</td>
<td>&gt;2 days/week</td>
<td>Throughout the day</td>
</tr>
<tr>
<td>Nighttime awakenings</td>
<td>≤2x/month</td>
<td>1–3x/week</td>
<td>≥4x/week</td>
</tr>
<tr>
<td>Interference with normal</td>
<td>None</td>
<td>Some limitation</td>
<td>Extremely limited</td>
</tr>
<tr>
<td>activity for symptom</td>
<td>≤2 days/week</td>
<td>&gt;2 days/week</td>
<td>Several times per day</td>
</tr>
<tr>
<td>control (not prevention</td>
<td>of EIB)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FEV&lt;sub&gt;1&lt;/sub&gt; or peak</td>
<td>&gt;80% predicted/</td>
<td>50–80% predicted/</td>
<td>&lt;60% predicted/</td>
</tr>
<tr>
<td>flow</td>
<td>personal best</td>
<td>personal best</td>
<td>personal best</td>
</tr>
<tr>
<td>Validated questionnaires</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ATAQ</td>
<td>0</td>
<td>1–2</td>
<td>3–4</td>
</tr>
<tr>
<td>ACQ</td>
<td>≤0.75&lt;sup&gt;+&lt;/sup&gt;</td>
<td>≥1.5</td>
<td>N/A</td>
</tr>
<tr>
<td>ACT</td>
<td>≥20</td>
<td>16–19</td>
<td>≤15</td>
</tr>
</tbody>
</table>

**Risk**

| Exacerbations requiring oral systemic corticosteroids | 0–1/year | ≥2/year (see note) |
| Evaluation requires long-term followup care. |

| Treatment-related adverse effects | Medication side effects can vary in intensity from none to very troublesome and worrisome. The level of intensity does not correlate to specific levels of control but should be considered in the overall assessment of risk. |

**Recommended Action for Treatment**

(See "Stepwise Approach for Managing Asthma" for treatment steps.)

- Maintain current step.
- Step up 1 step. Reevaluate in 2–6 weeks. For side effects, consider alternative treatment options.
- Consider short course of oral systemic corticosteroids. Step up 1–2 steps. Reevaluate in 2 weeks. For side effects, consider alternative treatment options.

*ACQ values of 0.76–1.4 are indeterminate regarding well-controlled asthma.

Key: EIB, exercise-induced bronchospasm; ICU, intensive care unit.

Notes:
- The stepwise approach is meant to assist, not replace, the clinical decisionmaking require to meet individual patient needs.
- The level of control is based on the most severe impairment or risk category. Assess impairment domain by patient’s recall of previous 2–4 weeks and by spirometry/or peak flow measures. Symptom assessment for longer periods should reflect a global assessment, such as inquiring whether the patient’s asthma is better or worse since the last visit. Impairment levels consistent with not-well-controlled asthma. ATAQ = Asthma Therapy Assessment Questionnaire© ACQ = Asthma Control Questionnaire© ACT = Asthma Control Test™ Minimal Important Difference: 1.0 for the ATAQ; 0.5 for the ACQ; not determined for the ACT. Before step up in therapy:
  - Review adherence to medication, inhaler technique, environmental control, and comorbid conditions.
  - If an alternative treatment option was used in a step, discontinue and use the preferred treatment for that step.
Key: Alphabetical order is used when more than one treatment option is listed within either preferred or alternative therapy. ICS, inhaled corticosteroid; LABA, long-acting inhaled beta2-agonist; LTRA, leukotriene receptor antagonist; SABA, inhaled short-acting beta2 agonist

Notes:
• The stepwise approach is meant to assist, not replace, the clinical decisionmaking required to meet individual patient needs.
• If alternative treatment is used and response is inadequate, discontinue it and use the preferred treatment before stepping up.
• Zileuton is a less desirable alternative due to limited studies as adjunctive therapy and the need to monitor liver function. Theophylline requires monitoring of serum concentration levels.
• In step 6, before oral corticosteroids are introduced, a trial of high-dose ICS + LABA + either LTRA, theophylline, or zileuton may be considered, although this approach has not been studied in clinical trials. Step 1, 2, and 3 preferred therapies are based on Evidence A; step 3 alternative therapy is based on Evidence A for LTRA, theophylline, and Evidence D for zileuton. Step 4 preferred therapy is based on Evidence B; and alternative therapy is based on Evidence B for LTRA and theophylline and Evidence D zileuton. Step 5 preferred therapy is based on Evidence B. Step 6 preferred therapy is based on (EPR—2 1997) and Evidence B for omalizumab.
• Immunotherapy for steps 2–4 is based on Evidence B for house-dust mites, animal danders, and pollens; evidence is weak or lacking for molds and cockroaches. Evidence is strongest for immunotherapy with single allergens. The role of allergy in asthma is greater in children than in adults.
• Clinicians who administer immunotherapy or omalizumab should be prepared and equipped to identify and treat anaphylaxis that may occur.
Special Situations

Exercised-induced Bronchospasm (EIB)

- Prevent EIB: treatment strategies to prevent EIB include:
  - Long-term control therapy
  - Pretreatment before exercise with SABA, leukotriene receptor antagonists (LTRAs), cromolyn or nedocromil; frequent or chronic use of long acting beta2-agonists (LABA) for pretreatment is discouraged, as it may disguise poorly controlled persistent asthma.
  - Warm-up period or a mask or scarf over the mouth for cold-induced EIA.

Home Management

- Develop a written asthma action plan: Instruct patient how to:
  - Recognize early signs, symptoms, and peak expiratory flow (PEF) measures that indicate worsening asthma.
  - Adjust medications and remove or withdraw from environmental factors contributing to the exacerbation.
  - Monitor response and seek medical care if there is a serious deterioration or lack of response to treatment. Consider a referral to an asthma specialist for consultation or comanagement if:
    - There are difficulties achieving or maintaining control
    - The patient requires >2 bursts of oral systemic corticosteroids in 1 year or has an exacerbation requiring hospitalization.
    - Step 4 care or higher is required (step 3 or higher for children 0-4)
    - Immunotherapy or omalizumab is considered
    - Additional testing is indicated.

Asthma in School

Asthma is the single most common cause of missed school days. Ask the student and parents about school attendance and participation in physical activities. School nurses, faculty, and staff need to be aware of each student who has asthma. In order to ensure the safety of a child with asthma, there are many tools that should be used in the school setting.

Disparities

Multiple factors contribute to the higher rates of poorly controlled asthma and asthma deaths among Blacks and Latinos compared to Whites. These factors include socioeconomic disparities in access to quality medical care, under prescription and under utilization of long-term control medication, cultural beliefs and practices about asthma management and perhaps biological and pathophysiological differences that affect the underlying severity of asthma and response to treatment.
Additional Resources

Allergy and Asthma Survival Guide
A Web site by the American Academy of Allergy, Asthma, and Immunology, with multiple links to topics relating to asthma and allergies.
www.aaaai.org/springallergy/understanding_allergic_asthma.stm

The Link Between Allergies and Asthma
A Web page from the Mayo Clinic discussing the link between allergies and asthma for parents, patients, families.
www.mayoclinic.com/health/allergies_and_asthma/AA00045/MOTT=D500021

How to Help Your Child with Allergies and Asthma
A two-page document by the American Academy of Allergies, Asthma & Immunology for parents.

Allergy Medications
A Web page from the Mayo Clinic Web site listing allergy medications by category with links to detailed information about each medication.
www.mayoclinic.com/health/allergy_medications/AA00054

Allergic Rhinitis
A handout from the American Academy of Allergy, Asthma, and Immunology explaining allergic rhinitis to parents, patients, families.

Patient Action Plan
Utah School Asthma Action Plan
From the Utah Department of Health, one page describing the three zones of symptoms and the appropriate action in the school setting. Requires signature of physician, parent, teacher, and school nurse. (also in Spanish)

Asthma attack warning signs

Utah School Asthma Emergency Protocol
From the Utah Department of Health Asthma Protocol for school personnel (also in Spanish)

Medication Self-Administration Form
Form for Self-Administration of Asthma Medications in School. A one page document by the Utah Department of Health for physicians to fill out. It gives a student permission to self-administer inhaled asthma medications.

Preventing exercise-induced

Winning with Asthma
An excellent thirty-minute educational video clip for coaches at all levels to improve their understanding of asthma and to train them how to help their athletes who suffer from asthma. Requires downloading Flash Player 8. www.winningwithasthma.org/
Addressing Asthma in Schools

From the Centers for Disease Control and Prevention/Division of Adolescent and School Health for school administrators and school personnel
www.cdc.gov/healthyYouth/asthma/pdf/asthma/asthma.pdf

Utah Asthma School Resource Manual

Air Quality

Air Quality Tool Kit for Schools

A Web site from the Environmental Protection Agency where you can order the IAQ Tools for Schools Kits or select individual pdf. files to download.

Utah School Guide for Interpreting the Air Quality Index

A handout from the Utah Department of Health Asthma Project for school personnel to determine indoor/outdoor physical activities

*All resources above can be found at: www.health.utah.gov/asthma
Example: Asthma Action Plan

**Asthma ACTION PLAN**

- **Avoiding to stay**
- **No coughing**
- **No wheezing**
- **No outdoor activities**
- **Coughing, play and sleep slowly**
- **Using medication more than twice a week**

**PEAK FLOW**

- 100% = personal best
- __________

- **Using quick-relief medications more than twice a week?**
- **Coughing**
- **Wheezing**
- **Shortness of breath**
- **Difficulty with physical activity**
- **Waking at night**
- **Requiring rescue inhalers**

**PEAK FLOW**

- 80% = personal best
- __________

**go**

- **Maintain therapy**

**caution**

- **Step up therapy**

**stop**

- **Got help now**

**Avoiding to stay**

- **Medication is not helping**
- **Breathing is very difficult**
- **Cannot walk or play**
- **Can’t talk easily**

**PEAK FLOW**

- Less than 50% of personal best
- __________

**Take CONTROLLER medication:***

**Take QUICK-RELIEF medication:***

- **Defer-severity**
- **Refer-severity to a higher**

Keep SPARE INHALERS on hand in case you fall into STEP 3 of the plan or have the red zone.

**STEP 1: Add QUICK-RELIEF medication:**

**STEP 2: Monitor your symptoms:**

- If symptoms **DO NOT** get better, return to the green zone.
- If symptoms **CONTINUE** or return within a few hours:
  - Add __________

**STEP 3: Continue monitoring your symptoms:**

- If symptoms **CONTINUE** after step 2, then:
  - Add __________

**STEP 4: Call your healthcare provider:**

- If you can’t reach your healthcare provider quickly, go to the nearest hospital ER immediately.
- Go to the hospital ER immediately if you are in the red zone.

**Asthma symptoms can get worse quickly.** When needed, seek medical help.
Additional Resources

American Academy of Allergy, Asthma, and Immunology
www.aaaai.org/patients/publicedmat/tips/asthmaandpregnancy.stm
www.aaaai.org/patients/seniorsandasthma/gerd.stm
www.aaaai.org/patients/seniorsandasthma/asthma_emergency.stm
www.aaaai.org/patients/publicedmat/tips/occupationalasthma.stm

Environmental Protection Agency
www.epa.gov/aging/solutions/Solutions6_1.pdfma/asthlrc.html

Mayo Clinic
http://www.mayoclinic.com/health/occupational-asthma/DS00591

NAEPP Guidelines for Asthma in the Elderly

National Jewish Medical Center
http://www.njc.org/
http://www.nationaljewish.org/disease-info/diseases/asthma/about/types/occupation.aspx

National Heart, Lung, and Blood Institute
http://www.nhlbi.nih.gov/health/prof/lung/asthma/astpreg.htm

Occupational, Safety and Health Administration (OSHA)
http://www.osha.gov/SLTC/occupationalasthma/
http://Familydoctor.org/040.sml?printxml

Pregnancy and Asthma
http://www.aaaai.org/patients/advocate/2003/spring/women.stm

Womenshealth.gov
www.womenshealth.gov/pub/steps/Asthma.htm

Traveling with Asthma
www.aaaai.org/patients/publicedmat/tips/travelinewithallergies.stm
References

Beck C
Utah Asthma Program, Bureau of Health Promotion, Utah Department of Health; (2007)

National Asthma Education and Prevention Program of the National Heart, Lung, and Blood Institute, National Institutes of Health; (2007)

For more information

The National Heart, Lung, and Blood Institute (NHLBI) Health Information Center is a service of the NHLBI of the Nation Institutes of Health. The NHLBI Health Information Center provides information to health professionals, patients, and the public about the treatment, diagnosis, and prevention of heart, lung, and blood diseases and sleep disorders. For more information, contact:

NHLBI Health Information Center
PO Box 30105
Bethesda, MD 20824-0115
Phone: 301-592-8573
Fax: 301-592-8563

Utah Department of Health, Asthma Program
PO Box 142106
Salt Lake City, Utah 84114-2106
Phone: 801-538-9272
Web site: www.health.utah.gov/asthma
For additional copies of this manual visit our Web site at:
www.health.utah.gov/asthma