

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: UTAH

AMOUNT, DURATION, AND SCOPE OF SERVICES PROVIDED
MEDICALLY NEEDY GROUP(S): ALL

6. Medical care and any other type of remedial care recognized under State law, furnished by licensed practitioners within the scope of their practice as defined by State law

a. Podiatrists' Services

Provided: No limitations With limitations*

b. Optometrists' Services

Provided: No limitations With limitations*

c. Chiropractors' Services

Provided: No limitations With limitations*

d. Other Practitioners' Services: Psychologist Services

Provided: No limitations With limitations*

7. Home Health Services

a. Intermittent or part-time nursing service provided by a home health agency or by a registered nurse when no home health agency exists in the area

Provided: No limitations With limitations*

b. Home health aide services provided by a home health agency

Provided: No limitations With limitations*

c. Medical supplies, equipment, and appliances suitable for use in the home

Provided: No limitations With limitations*

d. Physical therapy, occupational therapy, or speech pathology and audiology services provided by a home health agency or medical rehabilitation facility

Provided: No limitations With limitations*

*Description provided on attachment.

T.N. # 93-34

Approval Date 12-13-93

Supersedes T.N. # 89-23

Effective Date 10-1-93

INPATIENT HOSPITAL SERVICES

DEFINITION

Inpatient Hospital Service means service provided in a hospital licensed by the Utah Department of Health as a hospital -- general as defined by the Utah Code Annotated, Section 26-21-2(11), and by Utah Administrative Code, R432-100 and - specialty as defined by the Utah Code Annotated, Section 26-21-2(21) and by Utah Administrative Code, R432-103 (Rehabilitation) and R432-104 (Long Term Acute Care).

LIMITATIONS

1. The lower of the Western Region Professional Activities Study at the 50th percentile or the State of Utah's 50th percentile will be established as the upper limit of length of stay as a utilization control for the most frequent single cause of admission. These criteria will be used to evaluate the length of stay in hospitals that are not under the DRG payment system.
2. Need for an extension of length of stay must be justified by a physician, and reauthorization must be obtained from the Medicaid Agency for hospitals that are not under the DRG payment system.
3. Inpatient hospital psychiatric counseling services provided under personal supervision, rather than directly by the physician, are not provided in all hospitals in the state, and therefore, are non-covered services.
4. Inpatient hospital care for treatment of alcoholism and/or drug dependency is not a service provided in all hospitals in the state, and therefore, the service is limited to acute care for detoxification only.
5. Procedures determined to be cosmetic, experimental, or of unproven medical value, are non-covered services.
6. Organ transplant services are limited to those procedures for which selection criteria have been approved and documented in ATTACHMENT 3.1-E.
7. Abortion services, except as covered under ATTACHMENT 3.1-A, (Attachment #5a).
8. Selected medical and surgical procedures are limited by federal regulation and require review, special consent, and approval. A list will be maintained in the Medicaid Inpatient Hospital Provider Manual.
9. Except for item 7 above, the Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.
10. In order to care for the more acutely ill patient being admitted to skilled nursing facilities, long term acute care or rehabilitation hospitals from acute care hospitals, an intensive skilled level of care will be adopted.

Patients admitted requiring nursing care, rehabilitation, and/or other services above the usual circumstances will be classified as intensive skilled.

T.N. #	<u>04-008A</u>	Approval Date	<u>8-24-05</u>
Supersedes T.N. #	<u>98-003</u>	Effective Date	<u>10-1-04</u>

INPATIENT HOSPITAL SERVICES (Continued)

The Bureau of Health Facility Licensing, Certification and Resident Assessment will assess patient need and will authorize this category of service. Authorization for Intensive Skilled level of service will be based on documentation from the skilled nursing facility, long term acute care or rehabilitation hospital identifying the patient condition, length of stay and services required to meet the individual patient's needs.

The Division of Health Care Financing will contract with all licensed and certified skilled nursing facilities, long term acute care or rehabilitation hospitals that admit intensive skilled care patients.

If necessary, patients in this category should have available rehabilitative services to assist in restoring to maximum potential.

Long Term Acute Care and Rehabilitation Hospitals are defined by the Utah Code Annotated, Section 26-21-2(21) and by Utah Administrative Code, R432-103 (Rehabilitation) and R432-104 (Long Term Acute Care).

T.N. # 04-008A

Approval Date 8-24-05

Supersedes T.N. # New

Effective Date 10-1-04

OUTPATIENT HOSPITAL SERVICES

DEFINITION

Outpatient Hospital means a facility that is in, or physically connected to, a hospital licensed by the Utah Department of Health as a hospital - general, as defined by Utah Code Annotated, Section 26-21-2(8), 1990, as amended; and by Utah Administrative Code, R432-100-1 and 432-100.101, 1992, as amended.

LIMITATIONS

1. Procedures determined to be cosmetic, experimental, or of unproven medical value, are not a benefit of the program.
2. Outpatient hospital psychiatric counseling services provided under personal supervision, rather than directly by the physician, are not generally furnished by most hospitals in the state, and therefore, are non-covered services.
3. Abortion services, except as covered under ATTACHMENT 3.1-B, (Attachment #5a).
4. Selected medical and surgical procedures are limited by federal regulation and require review, special consent, and approval. A list will be maintained in the Medicaid Outpatient Hospital Provider Manual.
5. Except for item 3 above, the Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

Supersedes T.N. # 01-014

Effective Date 4-1-07

SKILLED NURSING FACILITY SERVICES

DEFINITION

Skilled nursing facility services (other than services in an institution for mental disease) for individuals 21 years of age or older determined, in accordance with section 1902(a)(28) of the Act, to be in need of such care.

In accordance with section 1919(f)(7) of the Act, personal hygiene items and services may be charged to the patient's personal needs fund. The following limitations apply.

LIMITATIONS

1. The following personal hygiene items and services may, at the request of the patient or the patient's advocate, be charged to the patient's personal needs fund:
 - a. Personal grooming services such as cosmetic hair and nail care;
 - b. Personal laundry services;
 - c. Specific brands of shampoo, deodorant, soap, etc. requested by the patient or patient's advocate and not ordinarily supplied by the nursing home as required in 2(a) and (b) below.
2. In accordance with State Plan amendment 4.19-D, Nursing Home Reimbursement, the following personal hygiene items and services may not be charged to the individual's personal needs fund:
 - a. Items specific to a patient's medical needs, such as protective absorbent pads (such as Chux), prescription shampoo, soap, lotion, etc.
 - b. General supplies needed for personal hygiene such as toothpaste, shampoo, facial tissue, disposable briefs (diapers), etc.
3. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. the proposed services are medically appropriate; and
 - b. the proposed services are more cost effective than alternative services.
4. In order to care for the more acutely ill patient being admitted to skilled nursing facilities, long term acute care or rehabilitation hospitals from acute care hospitals, an intensive skilled level of care will be adopted.

Patients admitted requiring nursing care, rehabilitation, and/or other services above the usual circumstances will be classified as intensive skilled.

The Bureau of Health Facility Licensing, Certification and Resident Assessment will assess patient need and will authorize this category of service. Authorization for Intensive Skilled level of service will be based on documentation from the skilled nursing facility, long term acute care or rehabilitation hospital identifying the patient condition, length of stay and services required to meet the individual patient's needs.

The Division of Health Care Financing will contract with all licensed and certified skilled nursing facilities, long term acute care or rehabilitation hospitals that admit intensive skilled care patients.

If necessary, patients in this category should have available rehabilitative services to assist in restoring to maximum potential.

T.N. # 04-008A

Approval Date 8-24-05

Supersedes T.N. # 98-003

Effective Date 10-1-04

MEDICALLY NECESSARY SERVICES

DEFINITION

Medically necessary services not otherwise provided under the state plan but available to EPSDT (CHEC) eligibles.

LIMITATIONS

Other necessary health care, diagnostic services, treatment, and other measures described in Section 1905(a) to correct or ameliorate defects and physical and mental illnesses and conditions discovered by EPSDT (CHEC) screening services will be provided when medically necessary to EPSDT eligibles. Services not provided under the plan but now available to EPSDT eligibles if medically necessary are:

1. Occupational therapy
2. Orthodontia
3. Medical or other remedial care provided by licensed practitioners:
 - a. Chiropractic services

T.N. # 93-002

Approval Date 5-21-93

Supersedes T.N. # 91-22

Effective Date 1-1-93

MEDICALLY NECESSARY SERVICES (Continued)

Medically necessary services not otherwise provided under the state plan but available to EPSDT (CHEC) eligibles (Continued)

Diagnostic, Preventive, Rehabilitative Services (42 CFR 440.130)

- A. Early intervention services are diagnostic and treatment services to prevent further disability and improve the functioning of infants and toddlers up to age four with disabilities.
 - 1. Individual or group therapeutic interventions to ameliorate motor impairment, sensory loss, or communication deficits; and
 - 2. Information and skills training to the family to enable them to enhance the health and development of the child.

- B. Skills development services are medically necessary diagnostic and treatment services provided to children between the ages of 2 and 22 to improve and enhance their health and functional abilities and prevent further deterioration. Services include:
 - 1. Individual or group therapeutic interventions to ameliorate motor impairment, sensory loss, communication deficits, or psycho social impairments; and
 - 2. Information and skills training to the family to enable them to enhance the health and development of the child.

Services may be provided at the early intervention site, day care site, in the child's home, at the child's school as needed in accordance with the Individualized Family Service Plan (IFSP) or the Individualized Educational Plan (IEP). Children between the ages of 2 and 4 will be served in the setting that best meets their needs in accordance with the IFSP or IEP. All services are prescribed in accordance with state law.

Early intervention and skills development services are provided by or under the supervision of:

- a. A licensed physician, registered nurse, dietician, clinical social worker, psychologist, audiologist, speech and language pathologist, occupational therapist, physical therapist, practicing within the scope of their license in accordance with Title 58, Occupational and Professional Licensing (Utah Code Annotated, as amended 1953); or
- b. An early childhood special educator certified under Section 53A-1-402 of the Utah Code Annotated, as amended in 1953); or
- c. Qualified mental retardation professional (QMRP) as defined in 42 CFR 483.430.

Qualified providers include entities operated by or under contract with the state Maternal and Child Health Title V Grantee agency responsible for Part H of the Individual with Disabilities Education Act (PL 102-119) to provide early intervention services; or school districts that provide special education and related services under Part B of the Individuals with Disabilities Education Act.

T.N. # 93-017 Approval Date 6-16-93

Supersedes T.N. # 93-002 Effective Date 8-1-93

MEDICALLY NECESSARY SERVICES (Continued)

Other Diagnostic, Preventive, Rehabilitative Services

LIMITATIONS

Diagnostic, Preventive, and Rehabilitative Services for EPSDT Participants [42 CFR 440.130(a)(c) and (d)].

Diagnostic, preventive, and rehabilitative health services for EPSDT participants provided by or through a Maternal and Child Health (Title V grantee) Clinic are covered benefits. Such services may be provided in other settings as appropriate.

Services are recommended by a physician and delivered according to a plan of care that is reviewed periodically by the physician. Services, including early intervention services, are provided by a licensed practitioner, including a licensed physician, registered nurse, dietician, clinical social worker, psychologist, audiologist, speech and language pathologist, occupational therapist, or physical therapist practicing within the scope of their license in accordance with Title 58, Occupational and Professional Licensing, of the Utah Code Annotated 1953, as amended.

T.N. # 93-002

Approval Date 5-21-93

Supersedes T.N. # 91-017

Effective Date 1-1-93

MEDICALLY NECESSARY SERVICES (Continued)

Diagnostic, Screening, Preventive, and Rehabilitative Services (Continued)

LIMITATIONS

Diagnostic and Rehabilitative Mental Health Services

Diagnostic and rehabilitative mental health services for EPSDT eligibles are limited to medically necessary services designed to promote the client's mental health, reduce the client's mental disability, and restore the client to the highest possible level of functioning.

1. Diagnostic services include mental health evaluation, psychiatric evaluation and psychological testing. Rehabilitative services include individual and group therapy, individual and group behavior management, medication management, skills development services, and skills development programs.

Skills development services means rehabilitative services provided to an individual or group of individuals that are designed to (1) assist individuals to develop competence in basic living skills in areas including, but not limited to, food planning, shopping, food preparation, money management, mobility, grooming, personal hygiene and maintenance of the living environment, and ensure appropriate compliance with the medication regimen; (2) assist individuals to develop community awareness, and (3) assist individuals to develop appropriate social and interpersonal skills and behaviors. Skills development services may also include supportive counseling directed toward eliminating psycho-social barriers that impede the individual's ability to function successfully in the community.

Skills development program means a licensed 24-hour comprehensive residential program, group home, or family-based foster care program that is operated by or under contract with the Department of Human Services (DHS) to provide an array of diagnostic and rehabilitative services as specified in the contract between DHS and the program, and up to 24-hour supervision in a structured setting for children who are experiencing social, emotional, or behavioral problems.

T.N. # 98-010

Approval Date 12-1-98

Supersedes T.N. # 93-029

Effective Date 12-1-98

MEDICALLY NECESSARY SERVICES (Continued)

Diagnostic, Screening, Preventive, and Rehabilitative Services (Continued)

LIMITATIONS

Diagnostic and Rehabilitative Mental Health Services

2. Diagnostic and rehabilitative mental services are covered benefits when provided: (1) by or under the supervision of a licensed practitioner of the healing arts employed by or under contract with DHS; or (2) by a licensed 24-hour comprehensive residential program, group home or family-based program that is operated by or under contract with DHS.
3. Services are recommended by a licensed practitioner of the healing arts and delivered according to a plan of care.
4. Services are provided by or under the supervision of a licensed practitioner of the healing arts, including a licensed physician, licensed psychologist, licensed social worker, licensed registered nurse with training or experience in psychiatric nursing, licensed marriage and family therapist, licensed professional counselor, or licensed social service worker practicing within the scope of their license in accordance with Title 58 of the Utah Code Annotated 1953, as amended.

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Supersedes T.N. # 93-029

Effective Date 12-1-98

MEDICALLY NECESSARY SERVICES (Continued)

Diagnostic, Screening, Preventive, and Rehabilitative Services (Continued)

LIMITATIONS

Diagnostic and Rehabilitative Mental Health Services

2. Diagnostic and rehabilitative mental services are covered benefits when provided: (1) by or under the supervision of a licensed practitioner of the healing arts employed by or under contract with DHS; or (2) by a licensed 24-hour comprehensive residential program, group home or family-based program that is operated by or under contract with DHS.
3. Services are recommended by a licensed practitioner of the healing arts and delivered according to a plan of care.
4. Services are provided by or under the supervision of a licensed practitioner of the healing arts, including a licensed physician, licensed psychologist, licensed social worker, licensed registered nurse with training or experience in psychiatric nursing, licensed marriage and family therapist, licensed professional counselor, or licensed social service worker practicing within the scope of their license in accordance with Title 58 of the Utah Code Annotated 1953, as amended.

T.N. # 98-010

Approval Date 12-1-98

Supersedes T.N. # 93-029

Effective Date 12-1-98

SERVICES PROVIDED BY LICENSED PRACTITIONERS - PSYCHOLOGISTS

LIMITATIONS

Services provided by licensed independent psychologists are limited to psychological evaluation, testing, and individual and group therapy for Medicaid eligibles who are eligible for EPSDT services.

T.N. # 94-027

Approval Date 3-3-95

Supersedes T.N. # New

Effective Date 1-1-95

MEDICALLY NECESSARY SERVICES (Continued)

Diagnostic, Screening, Preventive, and Rehabilitative Services (Continued)

LIMITATIONS

Diagnostic and Rehabilitative Mental Health Services

2. Diagnostic and rehabilitative mental services are covered benefits when provided: (1) by or under the supervision of a licensed practitioner of the healing arts employed by or under contract with DHS; or (2) by a licensed 24-hour comprehensive residential program, group home or family-based program that is operated by or under contract with DHS.
3. Services are recommended by a licensed practitioner of the healing arts and delivered according to a plan of care.
4. Services are provided by or under the supervision of a licensed practitioner of the healing arts, including a licensed physician, licensed psychologist, licensed social worker, licensed registered nurse with training or experience in psychiatric nursing, licensed marriage and family therapist, licensed professional counselor, or licensed social service worker practicing within the scope of their license in accordance with Title 58 of the Utah Code Annotated 1953, as amended.

T.N. # 98-010

Approval Date 12-1-98

Supersedes T.N. # 93-029

Effective Date 12-1-98

SERVICES PROVIDED BY LICENSED PRACTITIONERS - PSYCHOLOGISTS

LIMITATIONS

Services provided by licensed independent psychologists are limited to psychological evaluation, testing, and individual and group therapy for Medicaid eligibles who are eligible for EPSDT services.

T.N. # 94-027

Approval Date 3-3-95

Supersedes T.N. # New

Effective Date 1-1-95

FAMILY PLANNING SERVICES AND SUPPLIES

DEFINITION

Family planning services means diagnostic, treatment, drugs, supplies, devices, and related counseling in family planning methods to prevent or delay pregnancy. Family planning services are provided by or under the supervision of a physician for individuals of childbearing age, including minors who are sexually active.

LIMITATIONS

The following services are excluded from coverage as family planning services:

1. Experimental or unproven medical procedures, practices, or medication.
2. Surgical procedures for the reversal of previous elective sterilization, both male and female.
3. In-vitro fertilization.
4. Artificial insemination.
5. Surrogate motherhood, including all services, tests, and related charges.
6. Abortion services, except as covered under ATTACHMENT 3.1-a, (Attachment #5a).
7. Except for item 6 above, the Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 95-010

Effective Date 1-1-98

PHYSICIAN SERVICES

LIMITATIONS

1. Supervision by a Physician

Physician's services must be personally rendered by a physician licensed under state law to practice medicine or osteopathy, or by an individual licensed to serve the health care needs of a practice population under a physician's supervision.

a. "Physician Supervision" means:

The critical observation and guidance of medical services by a physician of a non-physician's activities to assure that the health, safety and welfare of patients is not compromised.

The acceptable standard of supervision is availability by telephone when the physician maintains written protocols embodying care standards and supervisory procedures along with the Delegation of Services Agreement maintained at the practice site. Medical records must have sufficient documentation signed by the physician to reflect active participation of the physician in supervision and review of services provided by staff under supervision.

b. "Direct Supervision" means:

The physician must be present and immediately available to render assistance and direction through the time persons under supervision are performing services.

When licensure laws, policy, education protocols, coding definitions, or service being provided require "Direct Supervision", the acceptable standard of supervision is availability in the facility, not necessarily within the same room, but within 10 minutes of reaching the person being supervised to provide assistance, consultation or direct care. Medical records must have sufficient documentation signed by the physician to reflect presence and participation of the physician in direct supervision.

T.N. # 02-012

Approval Date 11-26-02

Supersedes T.N. # 98-003

Effective Date 7-1-02

PHYSICIAN SERVICES (Continued)

LIMITATIONS (Continued)

2. Psychiatric services are specialty medical services, and when provided in a private physician's office, shall be provided by the private physician. Charting and documentation must reflect the physician's provision of care.

Non-physician counseling services are not a benefit of the Medicaid program except as authorized by policy for approved programs providing psychiatric care and treatment for individuals under 21 years of age.

3. Psychiatric services are specialty medical services, and when provided in a group practice or private clinic setting, must be provided, documented, and billed by the providing physician.

Charting and documentation must clearly show that all services were personally provided.

4. Abortion services, except as covered under ATTACHMENT 3.1-A, (Attachment #5a).

T.N. # 02-012

Approval Date 11-26-02

Supersedes T.N. # New

Effective Date 7-1-02

PHYSICIAN SERVICES (Continued)

LIMITATIONS (Continued)

5. Admission to a general hospital for psychiatric care by a physician is limited to those cases determined by established criteria and utilization review standards to be of a severity and intensity that appropriate service cannot be provided in any alternative setting.
6. Inpatient hospital care for treatment of alcoholism and/or drug dependency will be limited to acute care for detoxification only.
7. Service not actually furnished to a client because the client failed to keep a scheduled appointment will not be covered by Medicaid.
8. Procedures determined to be cosmetic, experimental, or of unproven medical value are non-covered services.
9. Organ transplant services will be limited to those procedures for which selection criteria have been approved and documented in ATTACHMENT 3.1-E.
10. Selected medical and surgical procedures are limited to designated place of service. An approved list will be maintained in the Medicaid Physician Provider Manual.
11. Cognitive services: the diagnostic/treatment process including, but not limited to, office visit, hospital visits, and related services, are limited to one service each day per provider.
12. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.
13. The Division shall impose a co-payment for each physician visit, maximum of one per date of service, when a non-exempt Medicaid client, as designated on his Medicaid card, receives the physician service. The Division shall limit the out-of-pocket annual expense to \$100 per client. These amounts are designated in R414-10-6.
 - a. The Division shall deduct the co-pay amount from the reimbursement paid to the physician provider, up to the annual maximum.
 - b. The provider should collect the co-pay amount from the Medicaid client for each visit requiring a co-payment.
 - c. There are categories of Medicaid clients who are exempt from the co-payment requirement as designated in R414-10-6.
 - d. Services rendered for family planning purposes are exempt from the co-payment requirement.

T.N. # 01-015

Approval Date 10-12-01

Supersedes T.N. # 98-003

Effective Date 9-1-01

PHYSICIAN SERVICES (Continued)

LIMITATIONS (Continued)

14. Physician services for pain management are restricted to physicians meeting the requirements outlined in the Utah Medicaid Physicians Provider Manual Section II: Criteria for Medical and Surgical Procedures, Criterion #45, **Chronic Pain Management and Attached Referral Form**. The requirements are stated below:

Medicaid will reimburse for a comprehensive pain evaluation only when performed at an approved multi-disciplinary outpatient pain center willing to provide evaluations by a board certified or board eligible pain specialist, a physical therapist and a mental health professional. Evaluations by all three disciplines must be part of the documentation supporting the claim. Evaluation and treatment is limited to the development of a treatment plan. The treatment plan is provided to the primary care provider (PCP), the designated care coordinator and the designated prepaid mental health plan liaison. The initial evaluation requires prior approval. Each additional visit or service other than the initial evaluation and treatment plan requires separate prior approval.

Medicaid requires the following to provide pain management services:

1. Evaluation and treatments involve an interdisciplinary model that focuses on the comprehensive management of the physical, psychological and social needs of patients.
2. Physicians must be board certified or board eligible by the American Board of Anesthesiology or the American Academy of Pain Medicine.
3. Evaluations and treatment by a clinical psychologist or psychiatrist are covered with prior approval into the pain management program.
4. The PCP agrees to assume primary care management of the patient, including pain management.

T.N. # 07-009

Approval Date 9-25-07

Supersedes T.N. # New

Effective Date 8-1-07

ABORTION SERVICES

DEFINITION

Abortion means all procedures performed for the purpose of terminating a pregnancy.
Abortion does not include removal of a dead unborn child.

LIMITATIONS

Abortions procedures are limited to:

1. Those where the pregnancy is the result of an act of rape or incest; or
2. A case with medical certification of necessity where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

T.N. # 98-004

Approval Date 4-2-98

Supersedes T.N. # 95-010

Effective Date 1-1-98

MEDICAL OR SURGICAL SERVICES FURNISHED BY DENTISTS

LIMITATIONS

1. A list of approved procedure codes for dentists and oral maxillofacial surgeons will be maintained in the Medicaid Dental Provider Manual. Certain medical and surgical procedures not reimbursable to physicians shall neither be reimbursable to dentists or oral maxillofacial surgeons.
2. Only dentists having a permit from the Division of Occupational and Professional Licensing may administer general anesthesia. The dentist administering the anesthesia may not also render the procedure.
3. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 91-22

Effective Date 1-1-98

PODIATRIST SERVICES

LIMITATIONS

Podiatrist services to non-pregnant adults age 21 years and older are limited to basic podiatrist services to prevent conditions that might lead to foot amputations.

1. Examination, treatment, and/or surgical procedures that are not limited to the area of the human foot. (Utah Code Annotated Vo. III, 58-5-1 through 58-5-15).
2. Routine foot care as described in 42 CFR 405.310(1) and noted in Podiatry Manual, Scope of Service.
3. Treatment of subluxation or Pes Planus as defined in 42 CFR 405.310(1) and noted in Podiatry Manual, Scope of Service.
4. Cutting or trimming nails, corns, warts, callouses for any patient who does not have arteriosclerosis, or Buerger's Disease, or diabetes.
5. Massages of the foot or adjoining structures.
6. Physical therapy services or procedures performed by a podiatrist.
7. Procedures performed in behalf of any patient that are not determined to be medically necessary and appropriate as determined by audit or post payment review.
8. General anesthesia administered by a podiatrist.
9. Amputation of the foot by a podiatrist.
10. Prosthetic devices except as defined in ATTACHMENT 3.1-A and 3.1-B, (Attachment #12c) of the Utah State Plan for Medicaid.
11. Orthotics, arch supports, foot pads, metatarsal head appliances, foot supports, "cookies", or other personal comfort items and services.
12. CPT-4 procedure codes except those describing service appropriate for podiatrists and listed in the Physician Manual, Podiatry Scope of Service and Index Section 7 and Appendix A.
13. J Codes (injection procedures) except those describing services appropriate for podiatrists and listed in the Physician Manual, Podiatry Scope of Services and Index 7 and Appendix A.
14. Laboratory procedures except those specified in the Physician Manual, Podiatry Scope of Service as appropriate for podiatrists to perform and for which the required equipment is available in the podiatrist's private office.
15. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 02-005

Approval Date 11-26-02

Supersedes T.N. # 01-017

Effective Date 7-1-02

PODIATRIST SERVICES (Continued)

LIMITATIONS (Continued)

16. The Division shall impose a co-payment for each podiatrist visit, maximum of one per date of service, when a non-exempt Medicaid client, as designated on his Medicaid card, receives the podiatrist service. The Division shall limit the out-of-pocket annual expense to \$100 per client. These amounts are designated in R414-11-10.
 - a. The Division shall deduct the co-pay amount from the reimbursement paid to the podiatrist provider, up to the annual maxim.
 - b. The provider should collect the co-pay amount from the Medicaid client for each visit requiring a co-payment.
 - c. There are categories of Medicaid clients who are exempt from the co-payment requirements as designated in R414-11-10.
 - d. Services rendered for family planning purposes are exempt from the co-payment requirement.

T.N. # 02-005

Approval Date 11-26-02

Supersedes T.N. # 01-017

Effective Date 7-1-02

OPTOMETRY SERVICES

SERVICES

1. Optometry services include examination, evaluation, diagnosis and treatment of visual deficiency, removal of a foreign body, and eyeglasses.

LIMITATIONS

The following services are excluded from coverage:

1. Vision training;
2. Pathology services, as specified in the optometry license;
3. Separate charges for fitting, measurement of facial characteristics, writing the prescription or order, and final adjustments or office calls, when providing eyeglasses or contact lenses;
4. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

Eyeglasses are available only to pregnant women and individuals eligible under the Early & Periodic Screening, Diagnosis, & Treatment Program (EPSDT).

T.N. # 08-020

Approval Date 1-13-09

Supersedes T.N. # 08-001

Effective Date 11-1-08

SERVICES PROVIDED BY LICENSED CHIROPRACTORS

LIMITATIONS

1. Services provided by licensed chiropractors are limited to treatment of the spine by means of manual manipulation, which includes x-rays of the spine. Services not related to spinal manipulation are not a benefit.
2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.
3. Chiropractic services are available only to pregnant women and individuals eligible under the Early Periodic Screening, Diagnosis, and Treatment (EPSDT) program.

T.N. # 08-017

Approval Date 12-19-08

Supersedes T.N. # 98-003

Effective Date 11-1-08

SERVICES PROVIDED BY LICENSED PRACTITIONERS - PSYCHOLOGISTS

LIMITATIONS

Services provided by licensed independent psychologists are limited to:

1. Psychological evaluation and testing for Medicaid eligibles who:
 - a. Exhibit mental retardation, developmental disability, or related condition; or
 - b. Are victims of sexual abuse as documented in a report to the Department of Social Services; or
 - c. Are eligible for EPSDT services.
2. Individual and group therapy for Medicaid eligibles who:
 - a. Are victims of sexual abuse as documented in a report to the Department of Social Services; or
 - b. Are eligible for EPSDT services.
3. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 89-23

Effective Date 1-1-98

HOME HEALTH SERVICES

DEFINITION

Home Health Services are part-time intermittent health care services, based on medical necessity, provided to eligible persons in their place of residence when the home is the most appropriate setting consistent with the clients's medical needs. Home health services are provided by a public or private state licensed, Medicare certified home health agency. Home Health Service is based on physician order and plan of care.

Two levels of Home Health Service are covered and identified by specific code.

1. Skilled Home Care includes nursing service as defined in the State Nurse Practice Act; home health aide service; and medical supplies, equipment and appliances suitable for use in the home.

Physical therapy or speech pathology services are optional home health service under the skilled level of care. When such therapy services are approved as covered home health service, the service must be provided by qualified, licensed therapists through employment or contractual arrangement made by the Home Health Agency.

2. Supportive, Maintenance Home Health Care

Recipients served in their place of residence through a long term maintenance program are those who have a medical condition which has stabilized, but who demonstrate continuing health problems requiring minimal assistance, observation, teaching or follow-up. This assistance can be provided by a certified home health agency through the knowledge and skill of a licensed practical nurse (LPN) or a home health aide under specific written instructions and periodic supervision by a registered nurse. Supportive maintenance home health care is based on physician order and plan of care and provided in the home when the home is the most appropriate setting consistent with the client's medical needs.

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Approval Date 3-6-01

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Effective Date 10-1-00

HOME HEALTH SERVICES (Continued)

LIMITATIONS

The following services are excluded from coverage:

1. Home Health Service which is not ordered and directed by a physician, written in an approved plan of care, and reviewed and recertified by a physician every two calendar months, a time limitation not to exceed 60 days.
2. Home Health Service which is not provided or supervised by a registered nurse employed by a home health agency and provided by the appropriate professional in the patient's place of residence.
3. Home Health Service provided to a patient capable of self-care.
4. Housekeeping or homemaking services.
5. Occupational therapy.
6. Physical therapy and/or speech pathology services not included in the plan of care and/or not provided by a qualified, licensed therapist.
7. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 00-015

Approval Date 3-6-01

Supersedes T.N. # 98-003

Effective Date 10-1-00

HOME HEALTH SERVICES - HOME HEALTH AIDE

LIMITATIONS

1. Home health aide services must be provided by a Home Health Agency through an established plan of care.
2. Home health aide services must be provided under specific written instruction and supervised by a registered nurse.
3. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

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Effective Date 1-1-98

HOME HEALTH SERVICES - MEDICAL SUPPLIES, EQUIPMENT, AND APPLIANCES

LIMITATIONS

Supplies, equipment (durable or disposable), and appliances are provided to Medicaid recipients who reside at home. Services are provided in accordance with 42 CFR 440.70(b)(3) and with established Medicaid policy covering medical supplies.

The following items are excluded from coverage as benefits of the Medicaid program:

1. First aid supplies other than those used for post surgical need, accidents, decubitus treatment, and long-term dressing.
2. Surgical stocking if ordered by a non-physician.
3. Syringes in excess of 100 per month.
4. Beds, when the recipient is not bed confined.
5. Variable height beds.
6. Two oxygen systems unless the physician has specifically ordered portable oxygen for travel to practitioners.
7. Oxygen systems provided more frequently than monthly.
8. Spring-loaded traction equipment.
9. Wheelchairs, unless the recipient would be bed or chair confined without the equipment.

Wheelchairs, attachments, and other adaptive equipment for addition to wheelchairs, require prior authorization and review. Physician order and documentation must show that established criteria have been met, documenting the medical need for use of a wheelchair to promote maximum reduction of physical disability and support of the patient at the best functional level.

10. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

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Effective Date 1-1-98

HOME HEALTH SERVICES - PHYSICAL THERAPY, OCCUPATIONAL THERAPY AND
SPEECH-PATHOLOGY SERVICES

LIMITATIONS

1. Physical therapy service provided by a home health agency must be prescribed by a physician and included in the plan of care. Physical therapy services are limited to those provided by a qualified, licensed physical therapist and must follow all regulations governing physical therapy service.
2. Treatment must follow written plan of care, and there must be an expectation that the patient's medical condition, under treatment, will improve in a predictable period of time.
3. The Agency requires all home health services to be prior authorized. Services will be prior authorized to the extent allowed by law, if the Agency's medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.
4. All physical therapy services are provided in accordance with 42 CFR 440.110.
5. Occupational therapy and speech pathology services in the home are available only to clients who are pregnant women or who are individuals eligible under the Early and Periodic Screening, Diagnosis and Treatment (EPSDT) Program.

T.N. # 09-003

Approval Date 8-27-09

Supersedes T.N. # 08-019

Effective Date 7-1-09

HOME HEALTH SERVICES - SPEECH PATHOLOGY SERVICE

LIMITATIONS

1. Speech pathology service provided by a home health agency must be prescribed by a physician and included in the plan of care. Speech pathology services are limited to those provided by a qualified, licensed speech therapist, and must follow all regulations governing speech pathology services.
2. Treatment must follow a written plan of care, and there must be an expectation that the patient's medical condition, under treatment, will improve in a predictable period of time.
3. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 89-23

Effective Date 1-1-98

PRIVATE DUTY NURSING

LIMITATIONS

1. Private duty nursing services will be provided:
 - a. To ventilator-dependent individuals who meet established criteria; and
 - b. In the individual's home, in order to prevent prolonged institutionalization. The service will be based on physician order and a written plan of care specific to needs of the individual, reviewed and recertified every 60 days; and
 - c. For a period of time essential to meet medically necessary care needs and develop confidence in family care givers. Private duty service needs are expected to decrease over time.
2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 93-014

Effective Date 1-1-98

CLINIC SERVICES

LIMITATIONS

1. End Stage Renal Dialysis

Limited to medically accepted dialysis procedures, such as peritoneal dialysis (CAPO, CCPO and IPO) or hemodialysis for outpatients receiving services in free-standing State-licensed facilities, which are also approved under title XVIII.

2. Ambulatory Surgical Centers

Scope of service is limited to ambulatory surgical procedures which are scheduled for non-emergency conditions.

3. Free Standing Birthing Clinics

Limited to treatment during gestation, delivery and the normal postpartum period.

4. Alcohol and Drug Center

Service limited to Methadone treatment at an approved center.

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Effective Date 1-1-98

CLINIC SERVICES

LIMITATIONS (Continued)

5. Maternal and Child Health (Title V Grantee) Clinics
 - a. Maternal and Child Health Clinic services are covered benefits for EPSDT eligibles.
 - b. Qualified providers include clinics under the direction of a licensed physician and operated or administered by the Title V grantee agency.
 - c. The clinic scope of benefits includes preventative, diagnostic, therapeutic, rehabilitative, or palliative items or services, including early intervention services, provided by or under the direction of a licensed physician or dentist. Other providers of services include registered nurses, psychologists, dietitians, clinical social workers, audiologists, speech and language pathologists, occupational therapists, or physical therapists practicing within the scope of their license in accordance with Title 58, Occupational and Professional Licensing (Utah Code Annotated, as amended 1953).
 - d. All clinic services are provided under the direction of a physician according to a written plan of care that is reviewed periodically by the directing physician.
6. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

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Effective Date 1-1-98

DENTAL SERVICES

SERVICE

1. Dental services include diagnostic, preventive and restorative procedures.

LIMITATIONS

1. Dental services are limited to services that prevent and abate tooth decay and restore dental health.
2. Except for medical necessity provisions of EPSDT, the following dental services are excluded:
 - a. Orthodontics or surgery for orthodontic purposes;
 - b. Fixed bridges, osseous implants, subperiosteal implants, ridge augmentation, transplants, or replant;
 - c. Pontic services, vestibuloplasty, occlusal appliances, or osteotomies;
 - d. Study models or diagnostic casts;
 - e. Treatment of temporomandibular joint syndrome, its prevention or sequelae, subluxation, therapy, arthrotomy, meniscectomy, or condylectomy;
3. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 05-007

Approval Date 8-2-05

Supersedes T.N. # 04-007

Effective Date 7-1-05

PHYSICAL THERAPY SERVICES

SERVICES

1. Physical therapy services include:
 1. treatment by the use of exercise, massage, heat or cold, air, light, water, electricity, or sound in order to correct or alleviate a physical or mental condition or prevent the development of a physical or mental disability; or
 2. the performance of tests of neuromuscular function as an aid to diagnosis or treatment.

LIMITATIONS

1. Physical therapy services will be provided for rehabilitation only. Therapy for the purpose of maintenance is not a covered Medicaid benefit. Physical therapy service must be based on physician order, follow a written plan of care, and be specific for the patient's diagnosis.
2. Stroke related physical therapy services must be initiated within sixty (60) days following the stroke and may continue only until the expected, reasonable level of function is restored.
3. Physical Therapy is limited to 20 visits annually.
4. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 09-003

Approval Date 8-27-09

Supersedes T.N. # 03-006

Effective Date 7-1-09

OCCUPATIONAL THERAPY SERVICES

SERVICES

1. Occupational therapy services include therapeutic, rehabilitative and preventative services for the treatment of physical injury (traumatic brain injury, spinal cord injury and hand injury), illness (CVA), congenital anomalies or developmental disabilities causing neurodevelopmental deficits such as cerebral palsy.

LIMITATIONS

1. Occupational therapy services will be provided for rehabilitation only. Therapy for the purpose of maintenance is not a covered Medicaid benefit. Occupational therapy service must be based on physician order, follow a written plan of care, and be specific for the patient's diagnosis.
2. Stroke related occupational therapy services must be initiated within ninety (90) days following the stroke and may continue only until the expected, reasonable level of function is restored.
3. Occupational Therapy is limited to 20 visits annually.
4. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 09-003

Approval Date 8-27-09

Supersedes T.N. # 03-007

Effective Date 7-1-09

SPEECH PATHOLOGY SERVICES

SERVICES

1. Speech pathology services include examination, diagnosis and therapy to correct or ameliorate speech-language disorders, abnormalities, behavior or their effects.
2. Speech-pathology services are provided by or under the direction of a speech pathologist.
3. Speech pathology services and providers meet the federal requirements of 42 CFR 440.110.

LIMITATIONS

1. One speech evaluation per client per year is a covered service.
2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.
3. Speech pathology services are only available to pregnant women and individuals eligible under the Early & Periodic Screening, Diagnosis, & Treatment Program (EPSDT).

T.N. # 08-018

Approval Date 12-29-08

Supersedes T.N. # 03-009

Effective Date 11-1-08

AUDIOLOGY SERVICES

SERVICES

1. Audiology services include preventive, screening, evaluation, diagnostic services and the provision of hearing aids.
2. Audiology services are provided by or under the direction of an audiologist.
3. Audiology services and providers meet the federal requirements of 42 CFR 440.110.

LIMITATIONS

1. Hearing aids must be guaranteed by the manufacturer for one year or more;
2. Charges for the return of a hearing aid (within 60 days) when the physician or audiologist determines that the aid does not meet specifications, and requests a change.
3. Separate charges for initial ear mold, fitting, conformity evaluation, testing batteries, and instruction recipients.
4. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.
5. Audiology services are only available to pregnant women and individuals eligible under the Early & Periodic Screening, Diagnosis, & Treatment Program (EPSDT).

T.N. # 08-018

Approval Date 12-29-08

Supersedes T.N. # 03-012

Effective Date 11-1-08

PRESCRIBED DRUG SERVICES

LIMITATIONS

1. Effective January 1, 2006, outpatient drugs covered under Medicare Prescription Drug Benefit Part D for full-benefit dual eligible beneficiaries who are defined as individuals who have Medicare and full Medicaid coverage, will not be covered under Medicaid in accordance with SSA 1935(a).

2. Drugs excluded under Medicare Part D are not covered for dual eligible recipients, except for certain limited drugs which are provided, in accordance with SSA, Section 1927(d)(2), to other Medicaid recipients including those who are full benefit dual eligible beneficiaries under the Medicare Prescription Drug Benefit Part D. These drugs are limited to include:
 - a. selected legend cough and cold agents used for symptomatic relief,
 - b. the following over-the-counter drugs or drug categories:
 - Acetaminophen
 - Antacid liquid and tablets
 - Aspirin
 - Diphenhydramine
 - Bisacodyl
 - Non-oyster shell calcium tabs
 - Chlorpheniramine
 - Citrate of magnesia
 - Loratidine (single agent)
 - Diabetic cough syrup
 - Contraceptives
 - Doxylamine succinate
 - Docusate
 - Ferrous gluconate and sulfate
 - Ferrous Fumarate
 - Glucose blood test strips
 - Clotrimazole
 - Hydrocortisone
 - Ibuprofen
 - Loperamide
 - Insulin
 - Kaolin with pectin
 - Lancets
 - Magnesium carbonate
 - Milk of magnesia
 - Niacin 250 and 500mg
 - Permethrin rinses
 - Childrens generic electrolyte solutions
 - Famotidine OTC
 - Bismuth subsalicylate suspension
 - Multiple vitamin drops for children
 - Prilosec OTC
 - Pseudoephedrine 30 and 60 mg tabs
 - Psyllium muciloid powder
 - Piperonyl butoxide/Pyrethrins shampoo
 - Guaifenesin with/without DM
 - Sennosides tablets
 - Triaminic(s)
 - Triple antibiotic ointment
 - Diabetic urine tests
 - OTC smoking cessation

T.N. # 07-006

Approval Date 9-6-07

Supersedes T.N. # 05-009

Effective Date 8-1-07

PRESCRIBED DRUG SERVICES

LIMITATIONS

- c. all barbiturates.
 - d. all benzodiazepines.
3. Drug Efficacy Study Implementation Project Drugs (DESI Drugs) as determined by the FDA to be less-than-effective are not a benefit of the Medicaid program.
 4. Other drugs and/or categories of drugs as determined by the Utah State Division of Health Care Financing and listed in the Pharmacy Provider Manual are not a benefit of the Medicaid program.
 5. In accordance with Utah Law 58-17b-606(4), when a multi-source legend drug is available in the generic form, reimbursement for the generic form of the drug will be made unless the treating physician demonstrates a medical necessity for dispensing the non-generic, brand-name legend drug.
 6. The Division shall impose a copayment for each prescription filled when a non-exempt Medicaid client, as designated on his Medicaid card, receives the prescribed medication. The Division shall limit the out-of-pocket monthly expense of the Medicaid client. These amounts are designated in R414-60 UAC.
 - a. The Division shall deduct the copayment amount from the reimbursement paid to the provider, up to the monthly maximum.
 - b. The provider should collect the copayment amount from the Medicaid client for those prescriptions requiring a copayment.
 - c. There are categories of Medicaid clients who are exempt from the copayment requirement, as designated in 42 CFR 447.53(b).
 - d. Pharmaceuticals prescribed for family planning purposes are exempt from the copayment requirement.
 7. The Division shall implement a preferred drug list for selected therapeutic drug classes beginning August 1, 2007. The therapeutic classes will be selected and a preferred drug or drugs for each therapeutic class implemented at the discretion of the Division.

T.N. # 07-006

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Supersedes T.N. # 05-009

Effective Date 8-1-07

PRESCRIBED DRUG SERVICES

LIMITATIONS

8. The State is in compliance with Section 1927 of the Social Security Act. The State will cover drugs of manufacturers participating in the federal rebate program. The State is in compliance with reporting requirements for utilization and restriction to coverage based on the requirements for Section 1927 of the Act. The State has the following policies for the supplemental rebate program for the Medicaid population:
 - a. A June 1, 2007 version of the rebate entitled 'Supplemental Rebate Agreement between the State and the drug manufacturer for drugs provided to the Medicaid population and the Sovereign States Drug Consortium Addendum to Member States Agreements submitted to CMS have been authorized by CMS.
 - b. Funds received from supplemental rebate agreements will be reported to CMS. The State will remit the Federal portion of any supplemental rebates collected.
 - c. Manufacturers with supplemental rebates are allowed to audit utilization data.
 - d. The unit rebate amount is confidential and cannot be disclosed in accordance with Section 1927(b)(3)(D) of the Social Security Act (the Act). No changes will be made to the agreement without CMS authorization.
 - e. The State will negotiate supplemental rebates in addition to federal rebates provided in Title XIX. Rebate agreements between the State and a pharmaceutical manufacturer will be separate from the federal rebates. Supplemental rebates received by the State in excess of those required under the federal drug rebate agreement will be shared with the federal government on the same percentage basis as applied under the federal rebate agreement.
 - f. The State may negotiate the Supplemental Rebate Agreement that would classify any covered drug as preferred for as long as the agreement is in effect.
 - g. The state may seek to limit select drugs by requiring the prescriber to write "medically necessary" - "Dispense as written" on prescriptions or other prescriptive restrictions as deemed necessary and lawful.
 - h. Pursuant to 42 USC 1396r-8, the State has established a preferred drug list (PDL) with non-preferred drugs identified. The PDL program shall negotiate drug discounts, rebates, or benefits for the Medicaid program.

T.N. # 07-006

Approval Date 9-6-07

Supersedes T.N. # New

Effective Date 8-1-07

PRESCRIBED DRUG SERVICES

LIMITATIONS

9. CMS has authorized the State of Utah to enter into "The Sovereign States Drug Consortium (SSDC)." The SSDC serves as a vehicle that allows the State to pool its data, lives, and resources with other State Medicaid programs desiring supplemental rebates, but the Consortium does not itself contract with the manufacturers. Utah's supplemental rebate agreement will be the version authorized by CMS and effective June 1, 2007.

Participation in the SSDC multi-state rebate agreement will not limit the State's ability to negotiate state-specific supplemental agreements. Utah will contract directly with each manufacturer.

10. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
- a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.
11. The prior authorization process for covered outpatient drugs conforms to section 1927(d)(5)(B) of the Act. Utilization of certain covered drug products may be restricted by means of the prior authorization process, in compliance with federal law. Prior authorization programs for covered outpatient drugs provide a response within 24 hours of a request for prior authorization and for the dispensing of a 72 hour supply of medications in emergency situations.

T.N. # 07-006

Approval Date 9-6-07

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Effective Date 8-1-07

DENTURE SERVICES

SERVICE

1. Denture services are covered and include the fabrication and placement of a complete or partial denture in either arch.
2. Initial placement includes the relining to assure the desired fit.

LIMITATIONS

1. Except for medical necessity provisions of EPSDT, dentures or partial dentures are limited to replacement only once every five years.

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PROSTHETIC DEVICES

Prosthetic devices mean replacement, corrective or supportive devices prescribed by a physician or other licensed practitioner of the healing arts within the scope of his practice as defined by state law to:

1. Artificially replace a missing portion of the body;
2. Prevent or correct physical deformity or malfunctions (including promotion of adaptive functioning); or
3. Support a weak or deformed portion of the body.

LIMITATIONS

The following services are excluded from coverage as a benefit of the Medicaid program:

1. Shoes, orthopedic shoes or other supportive devices for the feet, except when shoes are integral parts of leg braces or a prosthesis.
2. Shoe repair except as it relates to external modification of an existing shoe to meet a medical need, e.g., leg length discrepancy requiring a shoe "build-up" of one inch or more.
3. Personal comfort items and services. Comfort items include, but are not limited to, arch supports, foot pads, "cookies" or accessories, shoes for comfort, or athletic shoes.
4. Manufacture, dispensing, or services related to orthotics of the feet.
5. Internal modifications of a shoe, except when supported by documentation of medical necessity.
6. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

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Effective Date 1-1-98

PROSTHETIC AND ORTHOTIC SERVICES
(BRACES, ARTIFICIAL LIMBS, AND/OR PARENTERAL/ENTERAL SUPPLIES)

“Prosthetic devices” means replacement, corrective or supportive devices prescribed by a physician or other licensed practitioner of the healing arts within the scope of his practice as defined by State law to:

1. Artificially replace a missing portion of the body;
2. Prevent or correct physical deformity or malfunctions (including promotion of adaptive functioning); or
3. Support a weak or deformed portion of the body.

LIMITATIONS

The following items are excluded from coverage as benefits of the Medicaid program:

1. Any support items that could be classified as a corset, even those that have metal or wire supports;
2. “Test” equipment;
3. Any item provided to nursing home recipients which have been specifically restricted in the index in the Medical Supplies Provider Manual;
4. The provision of two monaural hearing aids instead on one binaural aid;
5. Rental of a hearing aid in excess of three months;
6. Nutrients used as food supplements. They are a Medicaid benefit only as total nutrition;
7. Baby formula such as Similac, Enfamil, or Mull-Soy.
8. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

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Effective Date 1-1-98

EYEGLASSES SERVICES

SERVICES

1. Eyeglasses services include glass lenses with frames, contact lenses and other aids to vision that are prescribed by a physician skilled in diseases of the eye or by an optometrist.

LIMITATIONS

The following services are excluded from coverage:

1. Oversize, exclusive, or specialty lenses;
2. Extended wear contact lenses;
3. Sunglasses or dark tint;
4. Any frame other than basic metal or plastic;
5. Lenses that are not for indoor/outdoor, day/night use.
6. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

Eyeglasses are available only to pregnant women and individuals eligible under the Early & Periodic Screening, Diagnosis, & Treatment Program (EPSDT).

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Effective Date 11-1-08

DIAGNOSTIC AND REHABILITATIVE SERVICES

LIMITATIONS

Diagnostic and rehabilitative mental health services are limited to medically necessary services designed to promote the client's mental health, reduce the client's mental disability, and restore the client to the highest possible level of functioning.

1. Services include psychiatric diagnostic interview examination, mental health assessment by a non-physician, psychological testing, individual psychotherapy, group psychotherapy including multiple-family group psychotherapy and interactive group psychotherapy, individual and group therapeutic behavioral services, individual psychotherapy with medical evaluation and management services, family psychotherapy with patient present, family psychotherapy without patient present, pharmacologic management, and individual skills training and development and psychosocial rehabilitative services.

Family psychotherapy without patient present is a collateral service. Collateral services may be provided if the following conditions are met: (1) the service is provided face-to-face to an immediate family member (e.g., parent, foster parent, spouse), and (2) the identified client is the focus of the session. If a licensed mental health therapist provides the collateral service, it should be billed as family psychotherapy without patient present. If a non-licensed mental health provider provides the collateral service, then the service will be billed according to the service provided.

Individual skills training and development and psychosocial rehabilitative services have the same definition. Individual skills training and development is billed when the service is provided to an individual client, while psychosocial rehabilitative services is billed when the service is provided to a group of clients. Both services are rehabilitative or remedial and are primarily designed to treat adults with serious and persistent mental illness (SPMI) and children with serious emotional disturbance (SED). These services embrace the recovery model for mental illness for adults.

Individual skills training and development services and psychosocial rehabilitative means services to assist clients to : (1) eliminate or reduce symptomatology related to the client's diagnosis, (2) increase compliance with the medication regimen, (3) avoid unnecessary psychiatric hospitalization, (4) eliminate or reduce maladaptive or hazardous behaviors and develop effective behaviors, (5) improve personal motivation and enhance self-esteem (6) develop appropriate communication, social and interpersonal skills, and (7) regain or enhance the basic living skills necessary for living in the least restrictive environment possible.

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DIAGNOSTIC AND REHABILITATIVE SERVICES (Continued)

LIMITATIONS (Continued)

2. Diagnostic and rehabilitative are covered benefits when provided by or through a comprehensive community mental health center licensed by the Department of Human Services in accordance with Section 62A-15-602, Utah Code Annotated, 2003, or as amended.
3. Services are recommended (or prescribed) by a licensed practitioner of the healing arts, including a licensed physician, licensed psychologist, licensed clinical social worker, licensed marriage and family therapist, licensed professional counselor, or a licensed advanced practice registered nurse practicing within the scope of their license in accordance with Title 58 of Utah Code Annotated, 2003, or as amended.
4. Services are delivered according to a treatment plan, or plan of care.
5. Services are provided by or under the supervision of a licensed practitioner of the healing arts, including a licensed physician, licensed psychologist, licensed clinical social worker, licensed marriage and family therapist, licensed professional counselor, licensed advanced practice registered nurse, licensed registered nurse, or licensed social service worker, practicing within the scope of their license in accordance with Title 58 of the Utah Code Annotated, 2003, or as amended.

Telehealth mental health is a complementary method of delivering traditional mental health services. The telehealth mode of delivery is reserved for rural clients where distance and travel time create difficulty with access to needed psychiatric and other mental health therapy services. Telehealth is designed to improve client access to mental health care in rural areas of Utah.

Limitations

1. Telehealth mental health services are limited to clients residing in rural areas of Utah.
2. Telehealth mental health services are limited to a telehealth site that provides audio and video communication between the provider and the client.
3. Telehealth mental health services are limited to psychiatric diagnostic interview examination by a physician, ongoing physician pharmacologic management and individual psychotherapy services.

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Effective Date 10-1-03

DIAGNOSTIC AND REHABILITATIVE SERVICES (Continued)

LIMITATIONS (Continued)

4. Compliance with the Utah Health Information Network (UHIN) standards for telehealth will be maintained.
5. Telehealth mental health services will be billed to Medicaid by the comprehensive community mental health center in the same way as face-to-face mental health services are billed. The "GT" modifier will be added to the mental health procedure codes indicating the delivery mode (Telehealth).

T.N. # 03-015

Approval Date 3-25-04

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Effective Date 10-1-03

OTHER DIAGNOSTIC, SCREENING, PREVENTIVE, AND REHABILITATIVE SERVICES

LIMITATIONS

1. Preventive services provided by the State Poison Control Center, through the Division of Family Health Services, are covered benefits for Medicaid recipients.
2. Services are provided by a physician or pharmacist practicing within the scope of their license in accordance with Title 58, Occupational and Professional Licensing, of the Utah Code Annotated 1953, as amended.
3. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

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Effective Date 1-1-98

OTHER DIAGNOSTIC, SCREENING, PREVENTIVE, AND REHABILITATIVE SERVICES

LIMITATIONS

Diagnostic and Rehabilitative Substance Abuse Treatment Services

Diagnostic and rehabilitative substance abuse treatment services are covered benefits when provided by or through a substance abuse treatment program under contract with a Local County Substance Abuse Authority and licensed in accordance with Section 62A-15-102, Utah Code Annotated, 2003, or as amended.

1. Diagnostic and rehabilitative substance abuse services are limited to medically necessary services designed to reduce the client's mental disability by eliminating the substance abuse and restoring the client to his best possible functional level. Services may also be provided to the client's children to reduce their risk of developing abuse disorder.
2. Services include psychiatric diagnostic interview examination, alcohol and drug assessment by a non-physician, psychological testing, individual psychotherapy, group psychotherapy including multiple-family group psychotherapy and interactive group psychotherapy, individual and group therapeutic behavioral services, individual psychotherapy with medical evaluation and management services, family psychotherapy with patient present, family psychotherapy without patient present, pharmacologic management, individual skills training and development and psychosocial rehabilitative services.

Family psychotherapy without patient present is a collateral service. Collateral services may be provided if the following conditions are met: (1) the service is provided face-to-face to an immediate family member (e.g., parent, foster parent, spouse), and (2) the identified client is the focus of the session. If a license mental health therapist provides the collateral service, it should be billed as family psychotherapy without patient present. If a non-licensed mental health provider provides the collateral service, then the service will be billed according to the service provided.

Individual skills training and development and psychosocial rehabilitative services have the same definition. Individual skills training and development is billed when the service is provided to an individual client, while psychosocial rehabilitative services is billed when the service is provided to a group of clients. Both services are rehabilitative or remedial.

T.N. # 03-020

Approval Date 3-25-04

Supersedes T.N. # 98-003

Effective Date 10-1-03

OTHER DIAGNOSTIC, SCREENING, PREVENTIVE, AND REHABILITATIVE SERVICES

LIMITATIONS (Continued)

Diagnostic and Rehabilitative Substance Abuse Treatment Services

Individual skills training and development and psychosocial rehabilitative services means services to assist clients to: (1) eliminate substance abuse, (2) eliminate or reduce the risk factors associated with development of a substance abuse disorder, (3) increase compliance with the medication regimen, (4) avoid unnecessary psychiatric hospitalization due to substance abuse-related factors, (5) eliminate or reduce maladaptive or hazardous behaviors and develop effective behaviors, (6) improve personal motivation and enhance self-esteem, (7) develop appropriate communication, social and interpersonal skills, and (8) regain or enhance the basic living skills necessary for living in the least restrictive environment possible.

3. Services are recommended (or prescribed) by a licensed practitioner of the healing arts, including licensed physicians, licensed psychologists, licensed clinical social worker, licensed marriage and family therapist, licensed professional counselor, or a licensed advanced practice registered nurse practicing within the scope of their license in accordance with Title 58 of Utah Code Annotated, 2003, or as amended.
4. Services are delivered according to a treatment plan, or plan of care.
5. Services are provided by or under the supervision of a licensed practitioner of the healing arts, including a licensed physician, licensed psychologist, licensed clinical social worker, licensed marriage and family therapist, licensed professional counselor, licensed advanced practice registered nurse, licensed registered nurse, licensed substance abuse counselor, or licensed social service worker, practicing within the scope of their license in accordance with Title 58 of Utah Code Annotated, 2003, or as amended.

T.N. # 03-020

Approval Date 3-25-04

Supersedes T.N. # 98-003

Effective Date 10-1-03

DIAGNOSTIC AND PREVENTIVE SERVICES

DEFINITION

Telehealth Home Care for the Diabetic Patient

Telehealth home care is a complementary method of delivering traditional home health care through an electronic medium. It does not replace onsite home health care, but provides a means of monitoring and counseling patients. Understanding disease progression, and acquisition of the monitoring skills to prevent complications, disease progression, and disability will enable the individual to take responsibility for a healthy lifestyle that promotes quality mental and physical health.

LIMITATIONS

1. Telehealth home care is limited to home-bound patients with diabetes living in rural areas of Utah.
2. Telehealth home care consultations for this program are limited to 20-30% of home health care visits preauthorized by Utilization Management staff review. Ten to 12 home health care visits are usually authorized by Utilization Management for a patient. Telehealth visits for teaching and follow-up of diabetic patients will be included within these preauthorized home health care visits.
3. Diabetic patients eligible for participation in Telehealth must be able to physically and mentally use Telehealth equipment and have a desire to participate. The patient wishing to participate in Telehealth home care who is unable to use the Telehealth equipment may be included in the pilot project when there is a full time care giver consistently available who wishes to assist the patient with Telehealth.
4. Documentation of the diabetic patient condition and plan of care for follow-up must clearly indicate to the prior authorization unit that hands-on assessment is not required and/or the home health nurse determines that the patient does not meet severity of illness criteria or have complicating conditions that might limit patient inclusion in the Telehealth home care project.
5. Diabetes Telehealth home care is limited to monitoring and counseling activities provided by a registered nurse. A dietician may provide dietary counseling with physician referral. Patient-initiated anxiety calls will be the responsibility of the home health agency.
6. Compliance will be maintained with the Utah Health Information Network (UHIN) standards for Telehealth.

T.N. # 99-011

Approval Date 12-28-99

Supersedes T.N. # New

Effective Date 10-1-99

DIAGNOSTIC, SCREENING, PREVENTIVE, AND REHABILITATIVE SERVICES

Telehealth Services for Children with Special Health Care Needs

Telehealth is a complementary method of delivering traditional physician and dietary consultation. The Telehealth mode of delivery is reserved for rural clients where distance and travel time create difficulty in access to pediatricians, physician specialists, and dietitians. This Telehealth program is designed to improve health care access for Special Health Care Needs Children residing in rural areas of Utah. Special Health Care Needs Children are defined as children who have, or are at increased risk for, disabilities from chronic physical, developmental, behavioral, or emotional conditions.

LIMITATIONS

1. Telehealth care is limited to Special Health Care Needs Children residing in rural areas of Utah.
2. The Telehealth sites chosen to participate in services for special health care needs children have the necessary technology in place. Other rural sites will be added as soon as the technology becomes available. Audio and video communication between the consulting provider and the patient will require linkage between the University of Utah Telemedicine site and Telehealth sites within the rural health clinic.
3. Scheduling of Telehealth sessions for Children with Special Health Care Needs will be limited to rural health department clinics. Preauthorization is not required.
4. Consulting providers will be limited to physicians and dietitians for this program. Nutritional assessments and counseling will be provided by certified dietitians within their scope of practice and state license. Counseling services of the dietitian will be provided as medically necessary to address inappropriate diet, feeding problems, alterations in growth, risks related to drug-nutrient interaction, and metabolic disorders. Dietary counseling sessions will be limited to five sessions per calendar year.
5. Compliance will be maintained with the Utah Health Information Network (UHIN) standards for Telehealth.

T.N. # 99-013

Approval Date 2-1-00

Supersedes T.N. # New

Effective Date 10-1-99

DIAGNOSTIC, SCREENING, PREVENTIVE, AND REHABILITATIVE SERVICES

Telehealth Services for Children with Special Health Care Needs (continued)

6. The modifier GT will be used to indicate that the health care services were provided by the Telehealth mode of delivery. This modifier is required to monitor and evaluate the financial impact of this project.
7. The TR modifier will be used to indicate a presenting provider was in attendance at the local health department. This modifier will provide data indicating the number of times the presence of a presenting provider was required for a Telehealth session to enhance physician assessment of the patient for the consulting provider.
8. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternate services.

T.N. # 99-013

Approval Date 2-1-00

Supersedes T.N. # New

Effective Date 10-1-99

OTHER DIAGNOSTIC AND PREVENTIVE SERVICES

Diabetes Self-Management Training

Diabetes self-management training is an educational program that teaches individuals how to successfully manage and control diabetes. The training will increase the individual's understanding of disease progression and teach monitoring skills to prevent complications, disease progression and disability. As a result of the training the individual will be able to identify potential diabetes-related problems, establish achievable self-care goals and take responsibility for maintaining a healthy lifestyle that promotes quality mental and physical health. The program coordinator will be responsible for maintaining ongoing open communication with the patient's physician. The Coordinator will inform the physician of the patient's progress, compliance, or issues of concern identified while the patient's training is in progress. Evaluation of the patient training will occur with each session and at the conclusion of training. Also, the program coordinator will complete follow up with the patient several months after the training. Issues or concerns will be communicated directly to the physician.

LIMITATIONS

1. Diabetes self-management training is limited to a maximum of ten hours of outpatient service. Instructors eligible to provide diabetes self-management training will include registered nurses, registered pharmacists and certified dietitians licensed by the state who are eligible under their scope of practice to provide counseling for patients with diabetes and to monitor patient compliance with the plan of care. In order to be included as an instructor in the program, instructors must have at least a bachelor's degree and a minimum of 24 hours of recent diabetes specific continuing education which includes pathophysiology, diabetes therapies and management, education principles and behavior change strategies. Instructors must acquire at least 6 hours of diabetes approved continuing education annually after completion of the initial 24 hours.
2. Diabetes self-management is limited to that certified by the physician, under a comprehensive plan, as essential to ensure successful diabetes management by the individual patient.
3. Diabetes self-management training is limited to the training presented in a certified program that meets all of the National Diabetes Advisory Board (NDAB) standards and is recognized by the American Diabetes Association (ADA) or is certified by the Utah Department of Health. For participation as a Diabetes Self-Management Training program within Medicaid, the program must have:
 - a. each instructor within the program demonstrate proficiency in each of the 15 ADA core curriculum standards of practice guidelines.
 - b. a designated program coordinator with education in the care of individuals with chronic diabetes and experience in program management to direct the planning, implementation and evaluation of the program.
 - c. an oversight committee to advise the program in its direction, annual planning process, resource needs, cultural appropriateness, and program evaluation. The committee will include at least a physician, a certified diabetes educator, a registered nurse, a state licensed certified dietician, a registered pharmacist, the program coordinator, a community representative and a consumer.

T.N. # 04-009

Approval Date 8-31-04

Supersedes T.N. # 99-008

Effective Date 4-1-04

OTHER DIAGNOSTIC AND PREVENTIVE SERVICES

Diabetes Self-Management Training (continued)

4. Diabetes self-management includes group sessions, but must allow for direct face-to-face interaction between the instructor and the patient to provide opportunity for questions and personal application of learned skills. Each nurse, pharmacist and dietician instructor will teach the 15 ADA core curriculum components which include:
 - a. diabetes overview.
 - b. stress and psycho-social adjustment.
 - c. family involvement and social support.
 - d. nutrition.
 - e. exercise and activity.
 - f. medications.
 - g. monitoring and uses of results.
 - h. interrelationship of nutrition, exercise, medication, and blood glucose level.
 - i. prevention, detection and treatment of acute complications.
 - j. prevention, detection and treatment of chronic complications.
 - k. foot, skin and dental care.
 - l. behavior change strategies, goal setting, risk factor reduction, and problem solving.
 - m. benefits, risks and management options for improving glucose control.
 - n. use of health care system and community resources.
 - o. preconception care, pregnancy and gestational diabetes (when appropriate).
5. Diabetes self-management training must be sufficient in length to meet the goals of the basic comprehensive plan of care. Individual sessions must be sufficient in number and designed to meet the medical and instructional needs of the individual.
6. Repeat of any or all of a diabetes self-management program is limited to new conditions or alteration of health status that warrants the need for new training.
7. Home Health Agency participation in diabetes self-management is limited to providing service to the patient who is receiving other skilled services in the home based on physician order and plan of care, when the home is the most appropriate site for the care provided.
8. Diabetes self-management training services provided by a home health agency must be provided only by state licensed health care providers who are certified or recognized by the American Diabetes Association (ADA) program or the Utah Department of Health. Qualified providers for the diabetes self-management training program include registered nurses, registered pharmacists and certified dietitians licensed by the state.

T.N. # 04-009

Approval Date 8-31-04

Supersedes T.N. # 99-008

Effective Date 4-1-04

SERVICES FOR INDIVIDUALS AGE 65 OR OLDER
IN INSTITUTIONS FOR MENTAL DISEASE (IMD)

LIMITATIONS

1. Services for individuals age 65 or older in an institution for mental disease are a benefit of the Medicaid program in a hospital licensed as a Specialty Hospital - Psychiatric, under the authority of Utah Administrative Code R432-101. Services must be provided under the direction of a physician.
2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 93-31

Effective Date 1-1-98

INTERMEDIATE CARE FACILITY SERVICES

Intermediate care facility services (other than services in an institution for mental diseases) for persons determined, in accordance with section 1902(a)(31)(A) of the Act, to be in need of such care.

In accordance with section 1919(f)(7) of the Act, personal hygiene items and services may be charged to the patient's personal needs fund. The following limitations apply.

LIMITATIONS

1. The following personal hygiene items and services may, at the request of the patient or the patient's advocate, be charged to the patient's personal needs fund:
 - a. Personal grooming services such as cosmetic hair and nail care;
 - b. Personal laundry services;
 - c. Specific brands of shampoo, deodorant, soap, etc., requested by the patient or patient's advocate and not ordinarily supplied by the nursing home as required in 2(a) and (b) below.
2. In accordance with State Plan amendment 4.19-D, Nursing Home Reimbursement, the following personal hygiene items and services may not be charged to the individual's personal needs fund:
 - a. Items specific to a patient's medical needs, such as protective absorbent pads (such as Chux), prescription shampoo, soap, lotion.
 - b. General supplies needed for personal hygiene such as toothpaste, shampoo, facial tissue, disposable briefs (diapers), etc.

T.N. # 90-07

Approval Date 4-16-90

Supersedes T.N. # New

Effective Date 4-1-90

INPATIENT PSYCHIATRIC FACILITY SERVICES
FOR INDIVIDUALS UNDER 21 YEARS OF AGE

LIMITATIONS

1. Inpatient psychiatric services for individuals under age 21 are a benefit of the Medicaid program only for care and treatment provided under the direction of a physician in a hospital licensed as a Specialty Hospital - Psychiatric, under the authority of the Utah Administrative Code R432-101, 1992 as amended.
2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 93-31

Effective Date 1-1-98

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ATTACHMENT 3.1-B
Attachment #18
Page 1

HOSPICE SERVICES

T.N. # 04-018

Approval Date 12-30-04

Supersedes T.N. # 98-003

Effective Date 7-1-04

TB RELATED SERVICES TO TB INFECTED INDIVIDUALS

LIMITATIONS

1. Directly Observed Therapy (DOT)/Behavior Modification services will provide for directly observed administration of tuberculosis medication, which means the direct observation of patients swallowing anti-tuberculosis medication. Recipients must be assessed as medically appropriate for DOT based upon the recipient's risk of non-adherence to medication regimen necessary to cure and prevent the spread of an infectious, potentially fatal disease which may not respond to conventional therapies. Services shall be furnished five or more days per week, unless otherwise ordered by the physician in the recipient's plan of care. This service is provided in accordance with a therapeutic goal in the plan of care. The plan of care will include a behavior modification program to aid in establishing a pattern of adherence to treatment. The behavior modification program will be developed on an individual basis based on the patients history of non-compliance. Daily monitoring of adherence and behavior modification is necessary to ensure completion of the prescribed drug therapy, since inconsistent or incomplete treatment is likely to lead to drug resistance or reactivation, posing a major threat to the public health. DOT includes security services designed to encourage completion of medically necessary regimens of prescribed drugs by certain non-compliant TB infected individuals on an outpatient basis.
2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 94-003

Effective Date 1-1-98

EXTENDED SERVICES TO PREGNANT WOMEN

The following major categories of service are available as pregnancy related or postpartum services for a 60-day period after the pregnancy ends and any remaining days in the month in which the 60th day falls.

1. Inpatient Hospital Services
Limitations identified in ATTACHMENT 3.1-A (Attachment #1)
2. Outpatient Hospital Services
Limitations identified in ATTACHMENT 3.1-A (Attachment #2)
3. Family Planning Services
Limitations identified in ATTACHMENT 3.1-A (Attachment #4.c)
4. Physician Services
Limitations identified in ATTACHMENT 3.1-A (Attachment #5)
5. Home Health Visits
Limitations identified in ATTACHMENT 3.1-A (Attachment #20.b, page 3)
6. Medical Supplies and Equipment
Limitations identified in ATTACHMENT 3.1-A (Attachment #7.c)
7. Prescription Drug Services
Limited to treatment of pregnancy related conditions, complications, and family planning. Limited also to those limitations identified in ATTACHMENT 3.1-A (Attachment #12.a)
8. Certified Registered Nurse Midwife Services
Limited to maternity cycle, i.e., pregnancy, labor, birth, and the immediate postpartum period which begins on the last day of pregnancy and extends through the end of the month in which the 60-day period following termination of pregnancy ends.
9. Certified Pediatric and Family Nurse Practitioners
Limitations identified in ATTACHMENT 3.1-A (Attachment #23)

T.N. # 93-015

Approval Date 5-20-93

Supersedes T.N. # New

Effective Date 4-1-93

EXTENDED SERVICES TO PREGNANT WOMEN (Continued)

The following services are being expanded beyond limitation for all groups described and the services are provided only for pregnant women.

A. Physician Services

Risk Assessment

Risk assessment is the systematic review of relevant client data to identify potential problems and plan for care. Early identification of high risk pregnancies with appropriate consultation and intervention contribute significantly to an improved perinatal outcome and lowering of maternal and infant morbidity and mortality. The care plan for low risk clients incorporates a primary care service package and additional services specific to the needs of the individual client. High risk care includes referral to or consultation with an appropriate specialist, individualized counseling and services designed to address the particular risk factors involved. Risk assessment will be accomplished using the Utah Perinatal Record System or other formalized risk assessment tool. Consultation standards will be consistent with the Utah Medical Insurance Association guidelines.

Limited to two risk assessments during any 10-month period.

Prenatal Assessment Visit (Initial Visit Only)

The initial prenatal visit for a new patient with a confirmed pregnancy, providing an evaluation of the mental and physical status of the patient, an in-depth family and medical history, physical examination, development of medical data, and initiation of a plan of care.

Limited to one visit in any 10-month period, to be used only when patient is referred immediately to a community practitioner because of identified risks or otherwise lost to follow-up because patient does not return.

Single Prenatal Visit (Visit Other Than Initial Visit)

A single prenatal visit for an established patient who does not return to complete care for unknown reasons. Initial assessment visit was completed, plan of care established, one or two follow-up visits completed but no follow through with additional return visits.

Limited to a maximum of three visits in any 10-month period, to be used outside of global service, only when the patient is lost to follow-up for any reason.

High Risk Pregnancy Care

High risk pregnancy as determined and reported through use of the formalized risk assessment tool shall be managed by physicians according to the Utah Medical Insurance Association guidelines. Additional reimbursement will be considered when criteria for high risk pregnancy care are met.

T.N. # 94-025 Approval Date 1-4-95
Supersedes T.N. # 88-05 Effective Date 10-1-94

EXTENDED SERVICES TO PREGNANT WOMEN (Continued)

B. Certified, Registered Nurse Midwife Services

Risk Assessment

Risk assessment is the systematic review of relevant client data to identify potential problems and plan for care. Early identification of high risk pregnancies with appropriate consultation and intervention contribute significantly to an improved perinatal outcome and lowering of maternal and infant morbidity and mortality. The care plan for low risk clients incorporates a primary care service package and additional services specific to the needs of the individual client. High risk care includes referral to or consultation with an appropriate specialist, individualized counseling, and services designed to address the particular risk factors involved. Risk assessment will be accomplished using the Utah Perinatal Record system or other formalized risk assessment tool. Consultation standards will be consistent with the Utah Medical Insurance Association guidelines.

Certified nurse midwives may care for some psycho socially or demographically high risk women according to written agreements with consulting physicians or admitting hospitals.

Limited to two risk assessments during any 10-month period.

Prenatal Assessment Visit (Initial Visit Only)

The initial prenatal visit for a new patient with a confirmed pregnancy, providing an evaluation of the mental and physical status of the patient, an in-depth family and medical history, physical examination, development of medical data, and initiation of a plan of care.

Limited to one visit in any 10-month period, to be used only when patient is referred immediately to a community practitioner because of identified risks or otherwise lost to follow-up because patient does not return.

Single Prenatal Visit (Visit Other Than Initial Visit)

A single prenatal visit for an established patient who does not return to complete care for unknown reasons. Initial assessment visit was completed, plan of care established, one or two follow-up visits completed, but no follow through with additional return visits.

Limited to a maximum of three visits in any 10-month period, to be used outside of global service, only when the patient is lost to follow-up for any reason.

T.N. #	<u>94-025</u>	Approval Date	<u>1-4-95</u>
Supersedes T.N. #	<u>88-05</u>	Effective Date	<u>10-1-94</u>

EXTENDED SERVICES TO PREGNANT WOMEN (Continued)

The following services are being added as certified registered nurse midwife services and provided only for pregnant women throughout pregnancy and up to the end of the month in which the 60 days following pregnancy ends.

Perinatal Care Coordination

Perinatal care coordination is the process of planning and coordinating care and services to meet individual needs and maximize access to necessary medical, psycho social, nutritional, educational, and other services for the pregnant women.

Prenatal and Postnatal Home Visits

Home visits can be included in the management plan of pregnant patients when there is a need to assess the home environment and implications for management of prenatal and postnatal care, to provide direct care, to encourage regular visits for prenatal care, to provide emotional support, to determine educational needs, to monitor progress, to make assessments, and to re-evaluate the plan of care.

Limited to no more than six visits during any 12-month period.

Group Prenatal/Postnatal Education

Classroom learning experience for the purpose of improving the knowledge of pregnancy, labor, childbirth, parenting and infant care. The objective of this planned educational service is to promote informed self care, to prevent development of conditions which may complicate pregnancy, and to enhance early parenting and child care skills.

Limited to eight units during any 12-month period. One unit is equal to one class at least one hour in length.

The following services are being added for specific providers. These services will be limited only to pregnant women throughout pregnancy and up to the end of the month in which the 60 days following the pregnancy occurs.

- C. Licensed, certified social worker, clinical psychologist, marriage and family counselor services.

Prenatal and Postnatal Psychosocial Counseling

Psycho social evaluation is provided to identify patients and families with high psychological and social risks, to develop a psycho social care plan and provide or coordinate appropriate intervention, counseling or referral necessary to meet the identified needs of families.

Limited to 12 visits in any 12-month period.

T.N. # 94-025

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Supersedes T.N. # 88-05

Effective Date 10-1-94

EXTENDED SERVICES TO PREGNANT WOMEN (Continued)

D. Registered Dietitian Services

Nutritional Assessment/Counseling

All women are referred to the WIC program for nutritional assessment. Women with complex nutritional or related medical risk factors as determined in initial prenatal visits may require intensive nutrition education, counseling, monitoring and frequent consultation, and may receive service by referral from a physician, certified nurse midwife, or a family nurse practitioner to a registered dietitian.

Limited to 14 visits during any 12-month period.

E. Community Health Nurse Services

Perinatal Care Coordination.

Prenatal and Postnatal Home visits, as defined above in B, may be provided by the community health nurse.

Group Prenatal/Postnatal education, as defined above in B, may be provided by the community health nurse.

F. Registered Nurse Services

Perinatal Care Coordination

Prenatal/Postnatal Home visits, as defined above in B, may be provided by the registered nurse.

G. Certified Family Nurse Practitioner Services

Perinatal Care Coordination

Risk Assessment, as defined above in B, may be provided by the certified family nurse practitioner.

Prenatal and Postnatal Home visits, as defined above in B, may be provided by the certified family nurse practitioner.

Group Prenatal/Postnatal education, as defined above in B, may be provided by the certified family nurse practitioner.

Prenatal Assessment visit, as defined above in B, may be provided by the certified family nurse practitioner.

Single prenatal visits, as defined above in B, may be provided by the certified family nurse practitioner.

T.N. # 94-025

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Supersedes T.N. # 88-05

Effective Date 10-1-94

EXTENDED SERVICES TO PREGNANT WOMEN (Continued)

H. Health Educator Services

Perinatal Care Coordination may be provided by those meeting the established criteria.

Group Prenatal/Postnatal education, as defined above in B, may be provided by the health educator.

I. Social Worker Services

Perinatal Care Coordination may be provided by a licensed social service worker (SSW) who meets the established criteria.

Perinatal Care Coordination may be provided by a licensed certified social worker (LCSW) who meets the established criteria.

T.N. # 94-025

Approval Date 1-4-95

Supersedes T.N. # 90-34

Effective Date 10-1-94

TRANSPORTATION SERVICES

LIMITATIONS

1. Coverage of optional transportation service is limited to the most reasonable and economical means of transportation necessary to secure medical examination and/or treatment for a recipient by a provider to whom a direct vendor payment can be made.
2. Travel expenses are limited to:
 - a. Cost of transportation for recipient by approved means.
 - b. A per diem not to exceed a maximum established by the State to be applied toward cost of meals and lodging when it is necessary for the recipient to remain away from home, outside of a medical facility while receiving approved treatment.
 - c. Cost of transportation and per diem not to exceed a maximum established by the State, to be applied to cost of meals and lodging for one parent to accompany a child to receive approved services out-of-state when there is a need for the parent to receive instructions in meeting the medical needs of the child.
 - d. Transportation costs and related travel expenses for an attendant to accompany a recipient for approved services only available out-of-state, when there is a justified medical need for an attendant. (A parent or a guardian can qualify as the attendant providing the individual can meet the existing medical need demonstrated by the patient.) Salary is included if the attendant is not a member of the patient's family.
 - e. These services are covered only for the period of time the attendant has responsibility for hands-on care of the recipient. Stand-by time is not covered.

T.N. # 89-23

Approval Date 10-11-89

Supersedes T.N. # 87-44

Effective Date 7-1-89

SKILLED NURSING FACILITY SERVICES
(Children under 21 years of age)

DEFINITION

Skilled nursing facility services (other than services in an institution for mental disease) for individuals under the age of 21, in accordance with section 1902(a)(28) of the Act, to be in need of such care.

In accordance with section 1919(f)(7) of the Act, personal hygiene items and services may be charged to the patient's personal needs fund. The following limitations apply.

LIMITATIONS

1. The following personal hygiene items and services may, at the request of the patient or the patient's advocate, be charged to the patient's personal needs fund:
 - a. Personal grooming services such as cosmetic hair and nail care;
 - b. Personal laundry services;
 - c. Specific brands of shampoo, deodorant, soap, etc. requested by the patient or patient's advocate and not ordinarily supplied by the nursing home and required in 2(a) and (b) below.

2. In accordance with State Plan Amendment 4.19-D Nursing Home Reimbursement, the following personal hygiene items and services may not be charged to the individual's personal needs fund:
 - a. Items specific to the patient's medical needs, such as protective absorbent pads (such as Chux), prescription shampoo, soap, lotion, etc.
 - b. General supplies needed for personal hygiene such as toothpaste, shampoo, facial tissue, disposable briefs, etc.

3. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. the proposed services are medically appropriate; and
 - b. the proposed services are more cost effective than alternative services

4. In order to care for the more acutely ill patient being admitted to skilled nursing facilities, long term acute care or rehabilitation hospitals from acute care hospitals, an intensive skilled level of care will be adopted.

Patients admitted requiring nursing care, rehabilitation, and/or other services above the usual circumstances will be classified as intensive skilled.

The Bureau of Health Facility Licensing, Certification and Resident Assessment will assess patient need and will authorize this category of service. Authorization for Intensive Skilled level of service will be based on documentation from the skilled nursing facility, long term acute care or rehabilitation hospital identifying the patient condition, length of stay and services required to meet individual patient's needs.

The Division of Health Care Financing will contract with all licensed and certified skilled nursing facilities, long term acute care or rehabilitation hospitals that admit intensive skilled care patients.

If necessary, patients in this category should have available rehabilitative services to assist in restoring to maximum potential.

T.N. #	<u>04-008A</u>	Approval Date	<u>8-24-05</u>
Supersedes T.N. #	<u>New</u>	Effective Date	<u>10-1-04</u>

HOME-BASED PERSONAL CARE SERVICES

Home-based personal care services are covered benefits when provided by an agency licensed to provide personal care outside of a 24-hour supervised living setting, in accordance with Utah Code Annotated, Title 26, Chapter 21. The services are delivered by a personal care aide or a home health aide (performing only personal care level tasks) who has obtained a certificate of completion from the State Office of Education, or a licensed practical nurse, or a licensed registered nurse.

LIMITATIONS

1. Home-based personal care services are covered benefits when prescribed by a physician.
2. Home-based personal care services are not covered benefits: (a) for recipient's residing in an institution, or (b) when delivered current with Medicaid home health aide services.
3. Home-based personal care services are limited to 60 hours per month.

T.N. # 01-013

Approval Date 11-15-01

Supersedes T.N. # 98-003

Effective Date 7-1-01

EMPLOYMENT-RELATED PERSONAL CARE SERVICES

Employment-related personal care services are covered benefits provided to support integrated employment opportunities for individuals with a moderate to severe level of disabilities. Services are delivered by an agency licensed to provide personal care services outside of a 24-hour supervised living setting, in accordance with Utah Code Annotated, Title 26, Chapter 21, or a non-agency individual employed by the recipient as a personal care assistant who meets provider qualifications established by the Medicaid Agency. Employment-related personal care services include physical assistance and cognitive cuing to direct self-performance of necessary activities.

LIMITATIONS

- A. Employment-related personal care services are covered benefits only for recipients who:
 - 1. meet the disability definition of the SEC 1614 [42 /y,/s,/c, 1382c](a)(3), and
 - 2. are gainfully employed in an integrated community setting.

- B. Employment-related personal care services are limited to:
 - 1. assistance with daily living activities;
 - 2. assistance with instrumental activities of daily living;
 - 3. transportation to and from the worksite;
 - 4. case management support to access and coordinate services and supports available at the work site through education, vocational rehabilitation, and other work-related public programs; and
 - 5. case management support to access and coordinate employment-related personal care services with other Medicaid State Plan services, including home-based personal care services
 - 6. services provided to eligible individuals outside the home necessary to assist them in obtaining and retaining competitive employment of at least 40 hours per month. Services are designed to assist an individual with a disability to perform daily activities on and off the job that the individual would typically perform if they did not have a disability.

- C. Employment-related personal care services are not covered benefits:
 - 1. when provided by a legally responsible family member or guardian;
 - 2. when provided to individuals residing in hospitals, nursing facilities, ICFs/MR, when the recipient is employed by the facility; or
 - 3. when provided to individuals enrolled in a 1915(c) Home and Community-Based Services waiver when personal care services are provided as a component of a covered waiver services currently being utilized by the recipient.

T.N. # 03-001

Approval Date 6-25-03

Supersedes T.N. # 01-013

Effective Date 3-1-03

EMPLOYMENT-RELATED PERSONAL CARE SERVICES (Continued)

- D. Scope, amount, and duration of employment-related personal care services will be determined on an individual recipient basis through a needs assessment process approved by the Department and completed by staff of the Department or its designee.
- E. Scope, amount, and duration of employment-related personal care services will be authorized through completion of a written individualized service plan prepared jointly by the individual recipient and the Department staff or designee conducting the needs assessment.
- F. Non-agency personal care assistants employed by the recipient to provide employment-related personal care services are required to utilize a Department approved fiscal intermediary to coordinate Medicaid claims submittal and payment, and to coordinate payment of employer-based taxes.
- G. Recipients who cannot direct the activities of a personal care assistance employee may designate a proxy to act in this capacity within parameters established by the Department.

T.N. # 03-001

Approval Date 6-25-03

Supersedes T.N. # 01-013

Effective Date 3-1-03

CERTIFIED PEDIATRIC AND FAMILY NURSE PRACTITIONERS

LIMITATIONS

1. Services provided by a licensed certified pediatric nurse practitioner (CPNP) or a licensed certified family nurse practitioner (CFNP) are limited to ambulatory, non-institutional services provided to the extent that licensed certified pediatric and family nurse practitioners are authorized to practice under state law.
2. The Agency may exceed the limitations on existing covered services to the extent allowed by law, if its medical staff determines:
 - a. that the proposed services are medically appropriate; and
 - b. that the proposed services are more cost effective than alternative services.

T.N. # 98-003

Approval Date 8-23-99

Supersedes T.N. # 90-31

Effective Date 1-1-98

MEDICALLY NECESSARY SERVICES

Medically necessary services not otherwise provided under the State plan but available to EPSDT (CHEC) eligibles

A. Target Group

Targeted case management for EPSDT-eligibles for whom the service is determined to be medically necessary. Targeted case management services will be considered medically necessary when a needs assessment completed by a qualified targeted case manager documents that:

1. The individual requires treatment and/or services from a variety of agencies and providers to meet his or her documented medical, social, education, and other needs; and
2. There is a reasonable indication that the individual will access needed services only if assisted by a qualified targeted case manager who (in accordance with an individualized case management service plan) locates, coordinates and regularly monitors the services.

B. Areas of the State in Which Services Will Be Provided:

Services will be available statewide.

C. Comparability:

Services are not comparable in amount, duration and scope. Authority of Section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of Section 1902(a)(10)(B) of the Act.

D. Definition of Services:

1. Targeted case management is a service that assists the eligible clients in the target group to gain access to needed medical, social, educational and other services. The overall goal of the service is not only to help Medicaid recipients to access needed services, but to ensure that services are coordinated between all agencies and providers involved.
2. The following activities/services are covered by Medicaid under targeted case management:
 - a. assessing and documenting the client's need for community resources and services;
 - b. developing a written, individualized and coordinated case management service plan to assure the client's adequate access to needed medical, social, educational and other related services with input, as appropriate, from the client, family and other agencies knowledgeable about the client's needs;
 - c. linking the client with community resources and needed services, including assisting the client to establish and maintain eligibility for entitlements other than Medicaid;
 - d. coordination of the delivery of services to the client including CHEC screenings and follow-up;
 - e. monitoring the quality and appropriateness of the client's services;
 - f. instructing the client or caretaker as appropriate, in independently obtaining access to needed services for the client;
 - g. assessing, periodically, the client's status and modifying the targeted case management service plan as needed; and
 - h. monitoring the client's progress and continued need for targeted case management and other services.

T.N. # 04-004

Approval Date 11-17-04 (lapsed)

Supersedes T.N. # NEW

Effective Date 1-1-04

MEDICALLY NECESSARY SERVICES (Continued)

D. Definition of Services (Continued)

3. Covered services provided to patients in a hospital, nursing facility or other institution may be covered only in the 30-day period prior to the patient's discharge into the community. This service is limited to nine hours of reimbursement per year for CHEC eligibles.

E. Medicaid providers of targeted case management services to CHEC-Medicaid eligible recipients may include:

1. Independent Professional -- An individual who:
 - a. is licensed as a clinical or certified social worker and practicing within the scope of his/her license in accordance with Title 58, Occupational and Professional Licensing, Utah Code Annotated, 1953 as amended;
 - b. has at least five years experience providing case management to the target group;
 - c. has current malpractice insurance of at least \$1,000,000; and
 - d. has filed an approved targeted case management Provider Agreement with the Division of Health Care Financing.
2. Agencies that specialize in providing case management services to children -- An agency that:
 - a. is licensed by the Department of Human Services as a child placement agency or an agency that receives Title V funding and has statutory responsibility for services to children with special health care needs; and
 - b. employs or contracts with licensed physicians, registered nurses, licensed psychologists, licensed physical therapists, licensed occupational therapists, licensed social workers, and/or licensed social service workers to provide case management services. The agency may utilize non-licensed individuals to provide targeted case management services, if the individual has education and experience related to high risk children and adolescents and has successfully completed a targeted case management course approved by the DHCF. The DHCF will approve training curriculums that include:
 - (1) detailed instruction in the Medicaid targeted case management provider manual requirements, and methods for delivering and documenting covered case management services;
 - (2) up-to-date information on community resources, and how to access those resources; and
 - (3) techniques and skills in communicating successfully with clients and other agency/provider personnel.

F. Freedom of Choice:

The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of Section 1902(a)(23) of the Act.

1. Eligible recipients will have free choice of providers of case management services.
2. Eligible recipients will have free choice of the providers of other medical care under the plan.

G. Non-Duplication of Payment:

Payment for case management services under the plan shall not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

T.N. # 04-004 Approval Date 11-17-04 (lapsed)

Supersedes T.N. # NEW Effective Date 1-1-04

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: UTAH

CASE MANAGEMENT SERVICES (Continued)

D. Definition of Services:

Perinatal care coordination is the process of planning and coordinating care and services to meet individual needs and maximize access to necessary medical, psycho social, nutritional, educational and other services for the pregnant woman.

Perinatal care coordination services are available to the pregnant woman throughout pregnancy and up to the end of the month in which the 60 days following pregnancy ends.

This coordination process requires the skill and expertise of professionals who have broad knowledge of perinatal care, interviewing and assessment techniques, alternative community resources, and referral systems required to develop an individual service plan.

The Perinatal Care Coordinator serves as a liaison between clients and individuals or agencies involved in providing care, as a contact person for the client and family, as a resource to prepare and counsel the client regarding essential services that are determined necessary and scheduled for the client.

Needs of pregnant women are individual and influenced by varying medical, personal, socioeconomic and psycho social factors. A plan of care with intervention to meet identified needs or resolve problems may be indicated on a limited, intermediate, or comprehensive basis. The initial assessment made by the Perinatal Care Coordinator will be the basis for determining the level of care and the extent of coordination and monitoring necessary for each individual.

Monitoring of the individual plan of services by the Perinatal Care Coordinator is essential to minimize fragmentation of care, reduce barriers, link clients with appropriate service, and assure that services are provided consistent with optimal perinatal care standards.

Monitoring involves direct contact with the client through clinic, home visits, or telephone contact. Monitoring includes a contact resulting in assessment, planning of care and services, and reevaluation of the plan of care. Monitoring may also include consultation with care providers to assess the need for further follow-up or coordination and arrangement of necessary services.

The number, duration, scope and interval between contacts will vary among clients and even across one client's pregnancy. At a minimum, contacts, including telephone contacts with the client, must include: assessment and documentation of current physical, psycho social, socioeconomic, and nutritional status. Follow-up on the outcome of previous referrals must be included along with documentation of any referrals arranged for additional services. Anticipatory guidance regarding pregnancy and parenting must also be documented.

T.N. # 94-025

Approval Date 1-4-95

Supersedes T.N. # 93-002

Effective Date 10-1-94

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: _____ UTAH _____

CASE MANAGEMENT SERVICES (Continued)

D. Definition of Services (Continued)

Provided by: Perinatal Care Coordinator who is an enrolled Medicaid provider
Billed by: Perinatal Care Coordinator using a HCFA 1500 Claim Form
Billing Code: Y7000 Perinatal Care Coordination

E. Qualification of Providers:

Recipients will have the free choice of any enrolled qualified Case Manager (Perinatal Care Coordinator). Qualified Case Managers are registered nurses, certified nurse midwives, certified family nurse practitioners, licensed social service workers, certified social workers, health educators or licensed practical nurses licensed under the authority of Title 58 (Occupational and Professional Licensing) of the Utah Code Annotated, 1953 as amended, practicing within the scope of their licensure, and recognized by the Utah Department of Health, Division of Health Care Financing and the Division of Family Health Services prenatal program.

The Case Manager (Perinatal Care Coordinator) can be employed by a physician who is a Medicaid provider, or employed by a Qualified Provider of Presumptive Eligibility services.

- F. The State assures that the provision of Case Management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
1. Eligible recipients will have free choice of the providers of Case Management services.
 2. Eligible recipients will have free choice of the providers of other medical care under the plan.
- G. Payment for Case Management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

T.N. # _____ 94-025 _____

Approval Date _____ 1-4-95 _____

Supersedes T.N. # _____ 93-002 _____

Effective Date _____ 10-1-94 _____

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: UTAH

CASE MANAGEMENT SERVICES

A. Target Group

1. Targeted case management services are provided to Medicaid eligibles who are assessed as having a primary diagnosis of a chemical dependency or substance abuse; and
2. In addition, recipients of targeted case management services must demonstrate lack of adequate or available support networks and one or more of the following:
 - a. Failure or inability to comply with treatment regimen or to access needed services independently;
 - b. Experience frequent crisis episodes; or
 - c. Require multiple services and their coordination.
3. The need for targeted case management services will be documented.

B. Areas of State in Which Services Will Be Provided

- Entire State
- Only in the following geographic areas (authority of section 1915(g)(1) of the Act is invoked to provide services less than statewide).

C. Comparability of Services

- Services are provided in accordance with section 1902(a)(10)(B) of the Act.
- Services are not comparable in amount, duration, and scope. Authority of section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.

T.N. # 95-014

Approval Date 12-6-95

Supersedes T.N. # New

Effective Date 10-1-95

MEDICALLY NECESSARY SERVICES

Early Childhood services not otherwise provided under the State plan but available to EPSDT (CHEC) eligibles

A. Target Group

Targeted case management for Medicaid-eligible children ages birth to four, for whom the service is determined to be medically necessary. Targeted case management services will be considered medically necessary when a needs assessment completed by a qualified targeted case manager documents that:

1. The individual requires treatment and/or services from a variety of agencies and providers to meet his or her documented medical, social, education, and other needs; and
2. There is a reasonable indication that the individual will access needed services only if assisted by a qualified targeted case manager who (in accordance with an individualized case management service plan) locates, coordinates and regularly monitors the services.

B. Areas of the State in Which Services Will Be Provided:

Services will be available statewide.

C. Comparability:

Services are not comparable in amount, duration and scope. Authority of Section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of Section 1902(a)(10)(B) of the Act.

D. Definition of Services:

1. Targeted case management is a service that assists the eligible clients in the target group to gain access to needed medical, social, educational and other services. The overall goal of the service is not only to help Medicaid recipients to access needed services, but to ensure that services are coordinated between all agencies and providers involved.
2. The following activities/services are covered by Medicaid under targeted case management:
 - a. assessing and documenting the client's need for community resources and services;
 - b. developing a written, individualized and coordinated case management service plan to assure the client's adequate access to needed medical, social, educational and other related services with input, as appropriate, from the client, family and other agencies knowledgeable about the client's needs;
 - c. linking the client with community resources and needed services, including assisting the client to establish and maintain eligibility for entitlements other than Medicaid;
 - d. coordination of the delivery of services to the client including CHEC screenings and follow-up;
 - e. monitoring the quality and appropriateness of the client's services;
 - f. instructing the client or caretaker as appropriate, in independently obtaining access to needed services for the client;
 - g. assessing, periodically, the client's status and modifying the targeted case management service plan as needed; and

T.N. # 01-007 Approval Date 6-8-01

Supersedes T.N. # 94-017 Effective Date 4-1-01

MEDICALLY NECESSARY SERVICES (Continued)

- h. monitoring the child's progress and continued need for targeted case management and other services.
3. Targeted case management services provided to a Medicaid eligible child in a hospital, nursing facility or other institution may be covered only in the 30-day period prior to the child's discharge into the community.

E. Qualified Providers

1. Medicaid providers of targeted case management services to CHEC-Medicaid eligible recipients may include:
- e. An individual who is licensed as a Registered Nurse in the State of Utah, and is employed by a local, state or district health department; or
- f. an agency that specializes in providing case management services to children and meets the following four criteria:
- i. is authorized and responsible as outlined in Utah Code Annotated, Section 17-5-243, to provide directly or indirectly, basic public health services as outlined in Utah Code Section 26A-1-106(3);
- ii. employs or contracts with Registered Nurses who perform targeted case management assessments and follow-up services. The agency may use non-licensed individuals to provide follow-up targeted case management services under the supervision of a qualified Registered Nurse, if the individual has education and experience related to high risk children and has completed training using a targeted case management curriculum approved by the DHCF. The DHCF will approve training curriculum that include:
- detailed instruction in the Medicaid targeted case management provider manual requirements, and methods for delivering and documenting covered case management services;
 - detailed instruction in the Utah Medicaid CHEC/EPSTD provider manual;
 - up-to-date information on community resources, and how to access those resources; and
 - techniques and skills in communicating successfully with clients and other agency/provider personnel.

F. Freedom of Choice:
The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of Section 1902(a)(23) of the Act.

1. Eligible recipients will have free choice of providers of case management services.
2. Eligible recipients will have free choice of the providers of other medical care under the plan.

G. Non-Duplication of Payment:
Payment for case management services under the plan shall not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

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